

|                                                                              |                         |                                                                         |                                |                                                                    |                            |
|------------------------------------------------------------------------------|-------------------------|-------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------|----------------------------|
| 1. Agency<br>G2 TROOP G - ZONE 2                                             | 2. Div/Precinct<br>G223 | New York State<br><b>INCIDENT REPORT</b>                                | 3. ORI<br>NY1450100            | 5. Case No.                                                        | 6. Incident No.<br>4582273 |
| 7,8,9. Date Reported (Day, Date, Time)<br>MONDAY 05/21/2012 09:46            |                         | 10,11,12. Occurred On/From (Day, Date, Time)<br>MONDAY 06/01/2009 09:46 |                                | 13,14,15. Occurred To (Day, Date, Time)<br>MONDAY 05/21/2012 09:48 |                            |
| 16. Incident Type<br>HARASSMENT-AGGRAVATED HARASSMENT                        |                         |                                                                         | 17. Business Name<br>NXIVM/ESP |                                                                    |                            |
| 19. Incident Address (Street Name, Bldg. No., Apt. No.)<br>VARIOUS LOCATIONS |                         |                                                                         |                                |                                                                    |                            |
| 20. City/State/Zip<br>HALFMOON NEW YORK 12065                                |                         |                                                                         |                                |                                                                    |                            |
| 21. Location Code (TSLED)<br>HALFMOON TOWN 4659                              |                         | 23. No. of Victims<br>0                                                 | 24. No. of Suspects<br>0       | 26. Victim also Complainant?                                       |                            |
| Location Type<br>SINGLE FAMILY HOME                                          |                         |                                                                         |                                |                                                                    |                            |

| 22.OFF. No. | LAW | SECTION | SUB | CL | CAT | DEG | ATT | NAME OF OFFENSE                                       | CTS |
|-------------|-----|---------|-----|----|-----|-----|-----|-------------------------------------------------------|-----|
| 1.          | PL  | 240.30  | 01  | A  | M   | 2   | C   | AGGRAV HARRASS-2:COMMUNICATE IN MANNER LIKELY TO CA   | 1   |
| 2.          | PL  | 120.45  | 01  | B  | M   | 4   | C   | STALKING 4TH:CAUSE FEAR OF HARM TO HEALTH,SAFETY,OR P | 1   |
| 3.          | PL  | 156.10  |     | E  | F   | 0   | C   | COMPUTER TRESPASS                                     | 1   |
| 4.          | PL  | 263.15  |     | D  | F   | 0   | C   | PROMOTE A SEXUAL PERFORMANCE BY A CHILD <17 YRS OF AG | 3   |
| 5.          | PL  | 263.16  |     | E  | F   | 0   | C   | POSSESS SEXUAL PERFORMANCE BY A CHILD <16 YRS         | 3   |

## ASSOCIATED PERSONS

| 25. TYPE           | Name (Last, First, Middle, Title) | DOB | Street Name<br>Bldg., Apt.No., City, State, Zip | Res Phone<br>Bus Phone |
|--------------------|-----------------------------------|-----|-------------------------------------------------|------------------------|
| COMPLAINANT        | CAFRTITZ, PAMELA, A               |     |                                                 |                        |
| COMPLAINANT        | RANIERE, KEITH, A                 |     |                                                 |                        |
| COMPLAINANT        | BRONFMAN, CLARE, W                |     |                                                 |                        |
| COMPLAINANT        | KEEFFE, KRISTEN, M                |     |                                                 |                        |
| COMPLAINANT        | SALZMAN, NANCY, L                 |     |                                                 |                        |
| COMPLAINANT        | CHIAPPONE, ESTHER, L              |     |                                                 |                        |
| COMPLAINANT        | NEVARES, IVY, C                   |     |                                                 |                        |
| COMPLAINANT        | HOTALING, SIOBAHN                 |     |                                                 |                        |
| LAWYER             | COFFEY, STEVE                     |     |                                                 |                        |
| LAWYER             | NICHOLS, PAMELA                   |     |                                                 |                        |
| PERSON INTERVIEWED | MYERS, BENJAMIN, T                |     |                                                 |                        |
| PERSON INTERVIEWED | OSE, STEVEN                       |     |                                                 |                        |
| PERSON INTERVIEWED | KOTLIN, SVETLANA                  |     |                                                 |                        |
| PERSON INTERVIEWED | BOUSE, JULIA                      |     |                                                 |                        |
| PERSON INTERVIEWED | ROTH, NATALIE                     |     |                                                 |                        |
| PERSON INTERVIEWED | FINN, ALEX                        |     |                                                 |                        |

|                              |                      |  |  |  |
|------------------------------|----------------------|--|--|--|
| PERSON INTERVIEWED           | FUGARO, RICHARD, A   |  |  |  |
| PERSON INTERVIEWED           | PINO, MARY JANE      |  |  |  |
| PERSON NOT (YET) INTERVIEWED | FALLON, PETER, S     |  |  |  |
| PERSON NOT (YET) INTERVIEWED | TRAVER, CHRISTINE, D |  |  |  |
| SUSPECT                      | BOUCHEY, BARBARA, J  |  |  |  |
| SUSPECT                      | O'HARA, JOSEPH, J    |  |  |  |
| SUSPECT                      | FOLEY, TONI, F       |  |  |  |
| SUSPECT                      | TIGHE, JOHN, J       |  |  |  |

## SUSPECT

|                                      |                     |                                                                            |                            |               |                        |                   |
|--------------------------------------|---------------------|----------------------------------------------------------------------------|----------------------------|---------------|------------------------|-------------------|
| Person ID #<br>[REDACTED]            | 34. Type<br>SUSPECT | 35. Name (Last, First, Middle)<br>O'HARA, JOSEPH, J                        |                            |               |                        |                   |
| 37. Apparent Condition               |                     | 38. Address (Street Name, Bldg., Apt. No., City, State, Zip)<br>[REDACTED] |                            |               |                        |                   |
| 39a. Home Phone<br>[REDACTED]        | 39b. Work Phone     | 40. Social Security<br>[REDACTED]                                          | 41. DOB<br>[REDACTED]      | 42. Age<br>61 | 43. Gender<br>MALE     | 44. Race<br>WHITE |
| 45. Ethnicity<br>NOT HISPANIC        | 46. Skin            | 47. Occupation                                                             |                            |               |                        |                   |
| 48. Height                           | 49. Weight          | 50. Hair                                                                   | 51. Eyes                   |               | 52. Glasses<br>UNKNOWN | 53. Build         |
| 54. Employer/School                  |                     |                                                                            | 55. Employer Address<br>NY |               |                        |                   |
| 56. Scars/Marks/Tattoos /Description |                     |                                                                            |                            |               |                        |                   |

36. Alias/Nickname/Maiden Name

| Last Name | First Name | MiddleName |
|-----------|------------|------------|
|           |            |            |

## SUSPECT

|                                                    |                       |                                                                            |                            |               |                    |                    |
|----------------------------------------------------|-----------------------|----------------------------------------------------------------------------|----------------------------|---------------|--------------------|--------------------|
| Person ID #<br>[REDACTED]                          | 34. Type<br>SUSPECT   | 35. Name (Last, First, Middle)<br>TIGHE, JOHN, J                           |                            |               |                    |                    |
| 37. Apparent Condition<br>APPARENTLY NORMAL        |                       | 38. Address (Street Name, Bldg., Apt. No., City, State, Zip)<br>[REDACTED] |                            |               |                    |                    |
| 39a. Home Phone<br>[REDACTED]                      | 39b. Work Phone       | 40. Social Security<br>[REDACTED]                                          | 41. DOB<br>[REDACTED]      | 42. Age<br>51 | 43. Gender<br>MALE | 44. Race<br>WHITE  |
| 45. Ethnicity<br>NOT HISPANIC                      | 46. Skin<br>FAIR      | 47. Occupation<br>LABORER                                                  |                            |               |                    |                    |
| 48. Height<br>5ft. 11in.                           | 49. Weight<br>240lbs. | 50. Hair<br>BROWN                                                          | 51. Eyes<br>BLUE           |               | 52. Glasses<br>NO  | 53. Build<br>HUSKY |
| 54. Employer/School                                |                       |                                                                            | 55. Employer Address<br>NY |               |                    |                    |
| 56. Scars/Marks/Tattoos /Description<br>[REDACTED] |                       |                                                                            |                            |               |                    |                    |

36. Alias/Nickname/Maiden Name

| Last Name | First Name | MiddleName |
|-----------|------------|------------|
|           |            |            |

## SUSPECT

|                                     |                     |                                                  |                       |                                                                            |                        |                   |           |
|-------------------------------------|---------------------|--------------------------------------------------|-----------------------|----------------------------------------------------------------------------|------------------------|-------------------|-----------|
| Person ID #<br>[REDACTED]           | 34. Type<br>SUSPECT | 35. Name (Last, First, Middle)<br>FOLEY, TONI, F |                       |                                                                            |                        |                   |           |
| 37. Apparent Condition              |                     |                                                  |                       | 38. Address (Street Name, Bldg., Apt. No., City, State, Zip)<br>[REDACTED] |                        |                   |           |
| 39a. Home Phone<br>[REDACTED]       | 39b. Work Phone     | 40. Social Security                              | 41. DOB<br>[REDACTED] | 42. Age<br>50                                                              | 43. Gender<br>FEMALE   | 44. Race<br>WHITE |           |
| 45. Ethnicity<br>UNKNOWN            |                     | 46. Skin                                         | 47. Occupation        |                                                                            |                        |                   |           |
| 48. Height                          | 49. Weight          | 50. Hair                                         | 51. Eyes              |                                                                            | 52. Glasses<br>UNKNOWN |                   | 53. Build |
| 54. Employer/School                 |                     |                                                  |                       | 55. Employer Address<br>NY                                                 |                        |                   |           |
| 56. Scars/Marks/Tattoos/Description |                     |                                                  |                       |                                                                            |                        |                   |           |

36. Alias/Nickname/Maiden Name

|           |            |            |
|-----------|------------|------------|
| Last Name | First Name | MiddleName |
|           |            |            |

## SUSPECT

|                                     |                     |                                                       |                       |                                                                            |                        |                   |           |
|-------------------------------------|---------------------|-------------------------------------------------------|-----------------------|----------------------------------------------------------------------------|------------------------|-------------------|-----------|
| Person ID #<br>[REDACTED]           | 34. Type<br>SUSPECT | 35. Name (Last, First, Middle)<br>BOUCHEY, BARBARA, J |                       |                                                                            |                        |                   |           |
| 37. Apparent Condition              |                     |                                                       |                       | 38. Address (Street Name, Bldg., Apt. No., City, State, Zip)<br>[REDACTED] |                        |                   |           |
| 39a. Home Phone<br>[REDACTED]       | 39b. Work Phone     | 40. Social Security                                   | 41. DOB<br>[REDACTED] | 42. Age<br>49                                                              | 43. Gender<br>FEMALE   | 44. Race<br>WHITE |           |
| 45. Ethnicity<br>UNKNOWN            |                     | 46. Skin                                              | 47. Occupation        |                                                                            |                        |                   |           |
| 48. Height                          | 49. Weight          | 50. Hair                                              | 51. Eyes              |                                                                            | 52. Glasses<br>UNKNOWN |                   | 53. Build |
| 54. Employer/School                 |                     |                                                       |                       | 55. Employer Address<br>[REDACTED]                                         |                        |                   |           |
| 56. Scars/Marks/Tattoos/Description |                     |                                                       |                       |                                                                            |                        |                   |           |

36. Alias/Nickname/Maiden Name

|           |            |            |
|-----------|------------|------------|
| Last Name | First Name | MiddleName |
|           |            |            |

## NARRATIVE

|                |              |                       |
|----------------|--------------|-----------------------|
| Date of Action | Date Written | Officer Name & Rank   |
| 04/03/2012     | 05/21/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

Case Adoption: NXIVM members report ongoing Aggravated Harrassment, Stalking and Unauthorized Use of a Computer, Town of Halfmoon, Saratoga County. Inv Kirsopp is assigned to investigate.

On 04/03/12, I was advised by S/Inv Donovan of an investigation involving NXIVM and JOHN TIGHE. Investigation concerns the ongoing harrassment and stalking of NXIVM members by TIGHE. Additionally, the investigation concerns the unauthorized access of the NXIVM computer database by unknown persons resulting in the access to privileged material. This material was subsequently posted on the blog site operated by TIGHE named "saratogaindecline.blogspot.com".

It is the knowledge of this investigator, that JOHN TIGHE has the aforementioned blog site and continually post materials surrounding Saratoga Springs politics and matters surrounding NXIVM/ESP (Executive Success Programs).

On 04/03/12, I interviewed KRISTIN M. KEEFFE, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. KEEFFE provided details what she described as an ongoing harassment of herself and her son, [REDACTED] (5 years). KEEFFE was in the process of providing a deposition but did not sign the deposition as she was concerned over the dates and times of the information provided.

On 04/05/12, I interviewed ESTHER. L. CHIAPPONE, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. CHIAPPONE provided details for what she described as an ongoing harassment of herself as a result of her association with NXIVM. CHIAPPONE described an incident at Silver Bay, Lake George, where TIGHE made an appearance in August 2010. (Reported through Warren County Dispatch, responded to by Warren County SO and NYSP). CHIAPPONE described an incident for the Holiday Party at Apropos (State Route 9, T/Halfmoon) parked in front of the building and taking pictures of people attending the party. CHIAPPONE stated that TIGHE has posted her address, email and phone number information on the website. CHIAPPONE added that she is afraid of TIGHE for what he will do or could possibly do to her. A copy of her deposition is attached to the report as an attachment herein.

On 04/05/12, I interviewed SIOBAHN HOTALING, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. HOTALING described what she feels is harassment by TIGHE. HOTALING stated that TIGHE has posted her sexual orientation on the blog, including her girlfriend's name and HOTALING'S email address. HOTALING stated that she bumped into TIGHE at Silver Bay in August 2010 but has never personally met TIGHE. Stated that TIGHE did a parody of her song, which he did not have permission to use, and posted it on his blog site. HOTALING stated that she is afraid of TIGHE. A copy of her deposition is attached to this report as an enclosure herein.

On 04/05/12, I interviewed IVY NEVARES, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. NEVARES described an incident at Apropos on July 16, 2010 where TIGHE made an appearance. ERIC MUMFORD spoke to TIGHE and requested that he leave the area. NEVARES also described an incident in January 2011, for Winterfest (Corporate Retreat) where TIGHE was observed in his vehicle adjacent to Fred the Butcher. NEVARES described an incident where TIGHE'S wife came into Apropos looking to deliver a check to PAM CAFRITZ. She was escorted out and TIGHE was observed in his vehicle at the front door. NEVARES added an additional incident is documented by TIGHE when he took a picture of NEVARES and her vehicle was posted on the blog after a birthday party for NANCY SALZMAN. A copy of her deposition is attached to this report as an enclosure herein.

On 04/05/12, I interviewed NANCY SALZMAN, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. SALZMAN describes several incidents where she felt that she has been harassed or stalked by TIGHE. On July 16, 2010 while at Apropos for her birthday party, TIGHE was observed at Fred the Butcher. August 2010, TIGHE made an appearance at Vanguard Week at Silver Bay, Lake George. July 16, 2011, TIGHE made an appearance at Apropos when he was observed at Fred the Butcher taking pictures. August 2011, TIGHE made another appearance during Vanguard Week at Silver Bay. SALZMAN added that TIGHE came to her house on Oregon Trail last winter but she wouldn't answer the door. He rang the doorbell for 10 minutes, then returned to his car for another 10 minutes, then he left. SALZMAN called the police. A copy of her deposition is attached to this report as an enclosure herein.

On 04/18/12, I interviewed CLARE W. BRONFMAN, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. BRONFMAN described the incidents at Silver Bay, Lake George, during Vanguard Weeks in 2010 and 2011. She described the January 2011 incident at Apropos where TIGHE made an appearance when he parked adjacent to Fred the Butcher. BRONFMAN further described the incident during SALZMAN'S birthday party at Apropos, July 2011. BRONFMAN also provide information regarding the unauthorized access to NXIVM databases through the use of a user name and password assigned to MARY JANE PINO. A deposition was obtained from BRONFMAN and a copy is attached to this report as an enclosure herein.

On 04/23/12, I interviewed PAMELA A CAFRITZ, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. CAFRITZ provided details about an incident where TONI NATALIE was observed in BARBARA BOUCHEY'S car, a Lexus, outside of a residence at [REDACTED]. CAFRITZ provide details on the incident at Apropos on July 16, 2010 where TIGHE was observed taking pictures. Dec 13, 2010 where TIGHE took pictures of cars in the parking lot at Apropos. CAFRITZ vehicle was in the pictures posted on the blog [REDACTED]. In March 2011 during a book club meeting at Apropos, TIGHE'S wife came into the building looking for CAFRITZ. No contact with her, TIGHE'S car was observed outside by other members attending the meeting. CAFRITZ stated that that TIGHE posted a picture of her townhouse on his website, where he reportedly visited the residence looking for CAFRITZ. On Dec 23, 2011, TIGHE reportedly made a "scare tactic" with his car. He reportedly drove past CAFRITZ and RANIERE while walking on Independence Blvd, then drove back past them, upon his return trip from behind the walking pair, CAFRITZ states that it appears that TIGHE veers from his driving lane towards them. She states it appears that he was trying scare us. This incident was not reported to the

police. I attempted to complete a deposition with CAFRITZ, but while doing so, CAFRITZ wished to review her deposition and return it when she deemed appropriate.

I interviewed KEITH RANIERE, in the presence of Attorney's PAMELA NICHOLS and STEVE COFFEY, O'Connell and Aronowitz, at the SP Clifton Park BCI Office. RANIERE advised that he is the philosophical founder of NXIVM and created the curriculum for NXIVM-ESP. RANIERE verified the incident recalled by CAFRITZ when BOUCHEY and NATALIE were outside [REDACTED]. He advised that NATALIE has mob connections but was unable to provide specific names or knowledge of the connection. RANIERE also advised that he NXIVM has knowledge of monetary payment to TIGHE from NATALIE, OHARA, and BOUCHEY. He did not have personal knowledge but stated that KEEFFE and BRONFMAN, C would have the information. RANIERE also provided information regarding the incident when CAFRITZ and RANIERE were walking on Independence Blvd and were almost hit by TIGHE. RANIERE added that TIGHE'S wife was taking pictures. RANIERE advised that he has been advised of post on the blog site involving what he believes to be threats against himself and others involved in NXIVM. RANIERE provided information in regards to two incidents at Flintlock Lane

I received paperwork through S/Inv Donovan from ADA Jesse Ashdown associated to a complaint directed to the Saratoga County DA Office in regards to Computer Trespass. I received the following paperwork:

- 1.) Affidavit dated 01/30/12 from MARY JANE PINO regarding the use of her user name and password
- 2.) A list of IP addresses associated to the log in's to the NXIVM website including dates and times
- 3.) A subpoena duces tecum issued by the Saratoga County DA Office requesting name, address, phone number, screen name account and billing information for IP address used of 08/18/11 at 9:22 AM DST and 9:29 AM DST.
- 4.) A Time Warner Cable response to the subpoena duces tecum providing the assigned user to the IP address as MARY TIGHE, [REDACTED]. The length of service has been from 02/09/09 to present date (01/31/12).

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 05/23/2012     | 05/23/2012   | KIRSOPP, RODGER (INV) |

#### Narrative

##### Interview of IT personnel

Interviewed BENJAMIN T. MYERS, dba Solsys who advised that he is a contractor for NXIVM. He provided his involvement in determining who had gained access to the NXIVM website and how it was determined that MARY J PINO user name and password were used retrieve information from the website. MYERS' statement was reduced to writing and a copy is attached to this report as an enclosure herein.

Interviewed STEVEN OSE, who advised that he is a contractor for NXIVM. He advised that he set up the hardware, software, setup, configuration and maintenance of the server. He did assist MYERS in retrieving information from the system but he had a limited role in determining the illegal access to the website.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 06/19/2012     | 06/19/2012   | KIRSOPP, RODGER (INV) |

#### Narrative

I met with Attorney Mike McDermott, O&A, regards to Computer Trespass complaint by CLARE BRONFMAN. McDermott provided an email purportedly provided by OHARA'S previous employer, LESTER MARSHALL. Written authorization was provided to O&A for the release of this information to NYSP by MARSHALL. Email is allegedly between NATALIE to OHARA bearing an attachment of the NXIVM members. The date of the email is 05/05/11. A review by NXIVM personnel located the highest number enrollee on the list is 10345. (page 62). This student enrolled on 03/15/11. This would be an indication that the list was stolen on 03/15/11 because the next student #10346 enrolled on 03/16/11. A check by NXIVM personnel located MARY JANE PINO'S login and password was used on 03/15/11 by a person with the IP address of 74.47.145.18 (Rochester).

Same date, McDermott provides the IP addresses for login's using Mary Jane Pino user name and password. Specifically the login for 09/10/10 at 16:35:31 from IP address 69.2.120.11. This IP address is associated with Advance Publications. Advance Publication is the publishing firm for Vanity Fair. It is the knowledge of this office and of NXIVM personnel that Vanity Fair published a story on NXIVM in November 2010.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 06/30/2012     | 07/11/2012   | KIRSOPP, RODGER (INV) |

#### Narrative

I met with ADA Jesse ASHdown and reviewed paperwork provided to him by Atty Coffey. O&A Law Firm. A review of their paperwork and the grand jury subpoena return revealed that the only IP address requested was the address associated with TIGHE. I requested the remaining IP addresses with the potential for prosecution for Computer Trespass to be identified with their respective ISP.

| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Date Written | Officer Name & Rank   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------|
| 07/10/2012                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 07/11/2012   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |              |                       |
| <p>I was contacted by Atty McDermott, O&amp;A Law Firm who was advised of the current status of this investigation.</p> <p>I contacted Saratoga County DA Office, Inv Andy Zostant and requested a status of the remaining IP addresses. Same advised that he had not been assigned to complete a subpoena for the IP addresses.</p> <p>I completed a formal request and submitted same to the DA Office for approval and submission of a subpoena.</p> <p>I completed a search for MARY JANE PINO using Spokeo which located her at [REDACTED]. An attempt to contact same at this number met with negative results. No answer.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Date Written | Officer Name & Rank   |
| 07/16/2012                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 07/16/2012   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |              |                       |
| <p>I contacted Inv Bill Thobae, Saratoga County DA Office who advised that he was in receipt of the request for a subpoena. Inv Thobae has completed the request but he is waiting on ADA Ashdown to return to the office. Once the subpoenas have been issued he expects a return in a short period of time, usually no longer than one week.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Date Written | Officer Name & Rank   |
| 07/20/2012                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 07/20/2012   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |              |                       |
| <p>I received an email from Atty MCDERMOTT who provided emails linking FOLEY, OHARA, BOUCHEY, ODATO and TIGHE.</p> <p>I received notification from Inv Bill Thobae, Saratoga County DA Office that IP address 108.4.147.32 used on 10/13/11 at 17:58.04 EST returns through Verizon Online as being assigned to JOSEPH O'HARA at [REDACTED].</p> <p>I reviewed emails received from O'HARA on 10/13/11 in regards to a previous investigation. A check of the IP address reveals the same IP address as the one indicated above.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Date Written | Officer Name & Rank   |
| 07/21/2012                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 07/21/2012   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |              |                       |
| <p>I received an email from Atty MCDERMOTT who provided contact information for MARK VICENTE ([REDACTED]). VICENTE is reportedly the subject who provided video of TIGHE'S vehicle on 03/13/12.</p> <p>I attempted to contact VICENTE with negative results. A message was left.</p> <p>I reviewed SJS reports where KEEFFE, SALZMAN, BRONFMAN, RANIERE or CAFRITZ have reported harassment or similar issues with TIGHE.</p> <p>I sent a request to the Saratoga County Sheriff's Dept for records reflecting complaints from the aforementioned persons against TIGHE.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Date Written | Officer Name & Rank   |
| 07/25/2012                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 07/25/2012   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |              |                       |
| <p>I interviewed MARK VICENTE at SP Clifton Park in the BCI interview room. VICENTE provided four video files from a thumb drive that he had in his possession. VICENTE advised that three video files were filmed using his equipment that he films movie with and they depict an interaction with TIGHE and responding patrols to Fred the Butcher. The fourth video file is a video of TIGHE on 03/13/12 when VICENTE used his iPhone to video TIGHE passing by the area of [REDACTED]. TIGHE reportedly had driven past on seven occasions and on the last occasion, VICENTE documented it with a video file. Copies of the files were provided to NYSP and reduced to a CD-R. VICENTE advised that TIGHE has never directly threatened him but has caused VICENTE to alter his activities.</p> <p>VICENTE to review the meta-data from his original footage to determine the time and date.</p> <p>I reviewed the footage provided by VICENTE. The video from Flintlock Lane is the same footage provided through Atty. NICHOLS where TIGHE'S car is observed on Independence Blvd. The footage of the incident at Fred the Butcher depicts TIGHE parked at the "Bull" and him speaking with SCSO and NYSP personnel. CD-R placed in the case file of this incident as it holds no</p> |              |                       |

evidentiary value.

I received documentation from SCSO Records indicating contact with KEEFFE, BRONFMAN, and NEVARES regarding suspicious activity (TIGHE).

1. 03/19/11 at 17:16 by NEVARES reporting activity at Apropos (believed to be the subpoena service where TIGHE, M entered Apropos and a previous attempt to serve papers at [REDACTED])
2. 03/19/11 at 18:10 by KEEFFE reporting TIGHE was in her neighborhood. No threats made.
3. 12/23/11 at 16:23 by KEEFFE reporting TIGHE outside her house filming
4. 12/24/11 at 10:54 by KEEFFE reporting being stalked by TIGHE for about a year and fears that it is escalating (referred back to NYSP)
5. 03/13/12 at 13:14 by KEEFFE reporting TIGHE was in the area of her residence. No contact made by TIGHE.

DA Inv Bill Thobae advised that the response from Time Warner on the IP addresses has returned as follows:

67.248.93.29 - No subscriber information is available as the date and time requested is past our retention period.

24.97.168.75 - Village Hall LLC (a known association with NXIVM) \*Unknown why this IP is appearing on the log as using PINO'S login information.

It should be noted that both of the above IP addresses were used on 09/04/11 at 8:00 PM and 11:00 PM. Possibly both IP addresses are associated to IT completing a track of log ins using PINO'S username and password.

On 07/24/12, I received an email from Atty MCDERMOTT who provided an Excell spreadsheet on IP addresses associated to the log ins using PINO'S information. It should be noted that this list is different from one previously supplied by IT personnel. Specifically excludes all three of the IP addresses used to request subpoenas from the DA Office. An email reply was sent to MCDERMOTT for an explanation.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 08/01/2012     | 08/01/2012   | KIRSOPP, RODGER (INV) |

**Narrative**

I received an email from Atty MCDERMOTT who provided a memorandum explaining how the different IP addresses were provided. As a result, IT determined that OHARA'S IP address 108.4.147.32 was not successful in gaining access to the NXIVM computer. This was an attempt only and determined to have not gained access therefore it was removed from the list.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 08/06/2012     | 08/06/2012   | KIRSOPP, RODGER (INV) |

**Narrative**

I met with Atty Coffey at SP Clifton Park who inquired on the status of this investigation and whether there would be search warrants of the suspects computers prior to or at the time of arrest. He also advised that if and when the suspects in this case are arrested, that his clients wish to have Order of Protection issued when they are arrested opposed to release on an AT with a future court appearance and issuance of an Order of Protection.

I received a call from Atty Coffey. Arrangement made for an interview of KEEFFE at 1:00 PM on 08/09/12.

I attempted to contact PINO at [REDACTED] - the number is disconnected. Attempts to locate additional contact information for PINO met with negative results. Spokeo resulted with an email address of [REDACTED]. An email was sent on today's date.

I conducted a check using an internet, advanced search for MARY JANE PINO, [REDACTED] and located additional contact information for PINO. I contacted PINO on her cellular phone at [REDACTED]. PINO advised that she attended classes with NXIVM/ ESP in 2001- to 2002. It was at this time that NXIVM was attempting to purchase property in Clifton Park that attracted media attention. PINO advised NXIVM/ESP to no longer contact her for future training and regrets taking the classes. PINO recalls BOUCHEY but does not recall any personal interaction with her. She was also aware of NATALIE and OHARA but not of TIGHE. PINO does not recall the user name or password. She was sponsored by PETER FALLON and one of her coaches was LORETTA GARZA.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 08/09/2012     | 08/09/2012   | KIRSOPP, RODGER (INV) |

**Narrative**

I interviewed KRISTIN KEEFFE at SP Clifton Park in the presence of her attorney, Atty Coffey. KEEFFE provided her deposition from her earlier. A copy is attached to this report as an enclosure. KEEFFE also provide details about her neighbor "RICK" at [REDACTED] in possession of surveillance cameras that she suspects he is using to document the activity at [REDACTED]

████ KEEFFE stated that over the last two weeks she has observed activity from her neighbor that causes her to be suspicious. Specifically in the activity surrounding █████ coming and going from the house.

Atty Coffey requested a timeline of possible activity on this case.

I contacted S/Inv Mark Brown and discussed this matter with same.

I attempted to contact BENJAMIN MYERS with negative results. A message was left.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 08/10/2012     | 08/10/2012   | KIRSOPP, RODGER (INV) |

#### Narrative

I received a phone call from KEEFFE. She advised that on today's date at approximately 10:00 AM she was outside of her residence speaking with a person walking their dog. The subject was observed taking pictures of her. KEEFFE advised BRONFMAN of the incident, who advised to contact this office. BRONFMAN stated that she had driven by the residence earlier with CAFRITZ and they both observed the male subject standing inside the door, looking out. She turned and noticed that her neighbor at █████ was standing inside the storm door of the residence and holding a camera. At one point, KEEFFE observed the camera lens poking out between the door and the door frame. It is unknown if the subject was taking picture of KEEFFE.

I conducted a check Saratoga County Property Search (internet search) and located that █████ is currently owned by RICHARD A. FUGARO and his wife, LORELEI FUGARO.

I spoke to BENJAMIN MYERS, IT who provided details of the software and IP capture system associated to the server used by NXIVM/ESP. MYERS stated that the Apache Server, in itself, has an internal software package that collects IP addresses, date and time and page load. There is a custom software script that he and OSE wrote to capture each individual attempt to log on to the system, including the user name and password that was used to log into the system. MYERS took the information collected from the custom script and compared it to the information collected by the Apache Server which is how they were able to discover that PINO'S user name and password were used to access the NXIVM/ ESP site.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 08/27/2012     | 08/27/2012   | KIRSOPP, RODGER (INV) |

#### Narrative

Upon my return to work, I received multiple phone calls from Atty Coffey, O&A who advised that TIGHE made an appearance at Vanguard Week at Silver Bay, Lake George on 08/23/12.

I received notification from Tpr Harrica, SP Chestertown who advised of his response to Silver Bay. A copy of the SJS report is enclosed in the case file of this report. I reviewed the SJS report which indicates that BRONFMAN, C contacted Warren County 911 in regards to a suspicious person. TIGHE was observed taking pictures of NXIVM attendees and reportedly engaged an attendee in conversation. No criminal charges were requested by BRONFMAN, C.

I received a call from Atty McDermott who requested action by 09/10/12 in case the offense only rises to misdemeanor level due to the statute of limitations.

I completed a review of the IP addresses associated to the log in information provided by NXIVM and known IP addresses attached to previous emails sent to NXIVM and this office by TIGHE, NATALIE and OHARA.

173.86.169.21- NATALIE- 08/23/10  
 24.39.203.50- BOUCHEY- 08/02/09-07/07/10  
 65.37.35.176-NATALIE (undetermined/Frontier Communications)  
 67-248.49.143-Unknown  
 69.2.120.11- Advance Publications- 08/09/10  
 72.226.58.91- TIGHE- 07/19/10 to 11/14/10  
 74.46.62.199- NATALIE- 10/05/10 to 10/06/10  
 74.47.145.18- (Frontier Communications)  
 74.47.146.147- (Frontier Communications)  
 74.47.146.70- (Frontier Communications)  
 74.47.147.117- (Frontier Communications)  
 74.47.149.101- Frontier Communications)  
 74.47.150.81- (Frontier Communications)

74.47.151.77- (Frontier Communications)  
 74.76.145.115- (Roadrunner)  
 74.76.149.7- John Tighe (Subpoena verified-Saratoga County) 12/2/10-10/31/11  
 96.236.30.20- Richard Croak Law Office (O'Hara Attorney) 07/29/10  
 96.236.44.171- O'HARA -03/16/11  
 207.237.232.82- [REDACTED] 07/09/10 to 09/10/10

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 08/29/2012     | 08/30/2012   | KIRSOPP, RODGER (INV) |

**Narrative**

On 08/29/12, S/Inv Donovan and I met with NYSP Division Counsel Bruen who provided guidance/ interpretation of Computer Trespass.

On 08/29/12, S/Inv Donovan and I met with ADA Jesse Ashdown and DA Jim Murphy. Contact was made with NYS AG Office, Darren Miller for possible AG involvement for ease of prosecution and issuance of multiple subpoenas through one court. No approval at this time.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 09/05/2012     | 09/05/2012   | KIRSOPP, RODGER (INV) |

**Narrative**

Received a call from Atty. McDermott who advised of a meeting with his client.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 09/15/2012     | 09/15/2012   | KIRSOPP, RODGER (INV) |

**Narrative**

Since 08/29/12, this office has continued conversations with the Saratoga County DA Office, DA Murphy and ADA Ashdown in regards to possible NYS AG Office assistance with prosecution. This office has been advised that Asst. Attorney General Darren Miller and his supervisor have not made a final decision on this matter. ADA Ashdown request additional materials to support Computer Trespass case in regards to description of materials downloaded from the website.

On 09/14/12, Atty Coffey at office reporting an incident at Atty Nichols residence in [REDACTED] possibly occurring on Monday, 09/10/12 the evening hours. Atty. Coffey reports an unknown party left feces at the front entrance to the residence of Atty. Nichols. It is unclear who or why the incident occurred, but Atty. Coffey wanted to advise this office of the incident.

Atty. Coffey also provided a packet of paperwork reportedly provided by JACK GOLDBERG, O'HARA'S former employer. A review of the packet reveals conversations between O'HARA and GOLDBERG regarding banking matters. Possible relevant matters are addressed below:

1. Email dated 01/13/09, indicates an email dated 01/13/09 to DWAYNE SEBOLD, HSBC requesting a new account to be opened for O'HARA'S wife, [REDACTED]
2. Email dated 05/24/10 from O'HARA to [REDACTED] (TONI NATALIE) providing what appears to be a summary of a conference call for 05/22/10 from 10:16 AM to 11:32 AM. Phone numbers involved in the call are [REDACTED] \*\*\*\*, [REDACTED] \*\*\*\*, [REDACTED] \*\*\*\*, [REDACTED] \*\*\*\*.
3. An Office and Staff Directory of Accelify, LLC
4. Emails dated 07/07/08, 07/11/08 and 10/19/09 from O'HARA to GOLDBERG indicating banking information.

\*At this time, it is unclear how this material is relevant to this investigation.

I attempted to contact MYERS, B with negative results. A message was left. \*MYERS contacted this office and arrangements were made for an interview on 09/17/12.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 09/17/2012     | 09/17/2012   | KIRSOPP, RODGER (INV) |

**Narrative**

I met with MYERS at SP Clifton Park. MYERS advised that he has the data available to determine the data transferred from the server to the user's computer. Same to provide media files bearing raw data and readable formats. Same have this information for

this office on 09/20/12.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 09/24/2012     | 09/24/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

Upon my return to work on today's date, I located a compact disc purportedly delivered to the barracks by MYERS, B. Subsequent phone contact verified the disc was delivered to the barracks on 09/21/12 at approximately 3:30 PM. MYERS, B advised the materials that I was seeking are contained on the disc. MYERS, B to be available to an interview on 09/25-09/26/12.

I reviewed the compact disc which contains four files, an Excel spreadsheet for the Apache Server log files, an Excel spreadsheet for the database table containing data associated with the login attempts with PINO username and password (custom program), a Word document explaining the data contained in the spreadsheets and a "hash file". The last file was placed at the request of this office to provide security to the programs written to the disc.

Further review of the individual spreadsheets locate data indicating the transfer of bytes from the server to the users computer using the "PINO" access information.

I attempted to contact ADA Ashdown to review the above information with negative results. A message was left.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 09/26/2012     | 09/26/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

On today's date, I met with Atty Nichols, O&A at SP Clifton Park. Same provided documentation in United States Bankruptcy Court, Northern District of New York (Case No. 08-12108-REL Chapter 7) Debtor JOSEPH J. O'HARA, Plaintiffs CLARE BRONFMAN, SARA BRONFMAN, v Defendant JOSEPH O'HARA, Intervenor, Douglas Wolinsky (Chapter 7 Trustee). I have been advised that this information is publicly filed paperwork through the respective court. I have been supplied by Atty. Nichols paperwork attached to this document which appears to be a Expert Report by ALEXANDER FLINN. A review of this report it indicates that FINN conducted a forensic search of the hard drive belonging to JAMES G. LOPERFIDO. I was advised by Atty. Nichols that LOPERFIDO is/was O'HARA's accountant. The report states that LOPERFIDO'S hard drive contained a program called ParetoLogic Privacy Controls by ParetoLogic. FINN determined this program is utilized to permanently delete or "shred" files on one's computer.

The above information does not provide any direct knowledge of a software or program being utilized by any suspect in this case and it is taken as informational purposes at this time. Information may be useful if a computers are seized in the future.

I interviewed BEN MYERS who verified the compact disc supplied earlier was in fact the disc that he supplied. He further verified the files on the disc were files that he supplied. MYERS provided background on his investigation into the unauthorized access to the NXIVM private web portal system. MYERS' statement was reduced to writing and a copy is attached to this report as an enclosure herein.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/10/2012     | 10/10/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

I provided ADA Ashdown with materials supplied by MYERS. Information is to be forwarded to A.A.G Darren Miller for review. This case awaits the decision by the AG Office.

I was contacted by Atty McDermott who requested a status update on this investigation. I was also contacted by Atty Nichols who advised that she in possession of a computer server from JACK GOLDENBERG. GOLDENBERG is the former employer of O'HARA. Atty Nichols advises that a summary review of the materials on the server indicate the possession of emails from/ to O'HARA, from/ to BOUCHEY, NATALIE, TIGHE and others. Same inquired if her office should review the material or if NYSP would conduct a review of the material.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/15/2012     | 10/15/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

I spoke to Atty Nichols who advised that PINO had been interviewed by telephone by an investigator from O'Connell and Aronowitz. Atty Nichols advised that after the initial interview, PINO secured Atty. E. Stewart Jones for representation. A sworn affidavit was secured through E. Stewart Jones and delivered to O'Connell and Aronowitz. The affidavit was secured as a result of a request by ADA Ashdown, Saratoga County DA Office.

I received another spreadsheet from MYERS providing the complete log in information for PINO. Dates are provided back to 2006. A review of the initial dates reveal access gained by persons of the Times Union in September 2006.

Spreadsheets include enrollment and payment information for PINO, the main spreadsheet, Times Union, Taleo Corporation, Dow Jones-Telerate, and International Immigration Foundation,

A check of internet databases located the following:

Taleo Corporation is a Executive Recruiting Service located in California.

International Immigration Foundation: appears to be an organization from New York City which provided services for immigrants (internet/google/wiki reports then NYS Attorney General Andrew Cuomo sued this agency for misrepresentation, dated 02/01/10)  
Dow Jones-Telerate is a financial information data collection service

I met with MYERS at SP Clifton Park who provided background information on the data provided on the spreadsheets supplied on today's date. I reviewed the spreadsheets with MYERS which revealed the following:

The main spreadsheet provided displays PINO log in information back to 03/25/06. Log ins begin in Brighton, Massachusetts on 03/25/06. On 08/24/06 an unknown party gained access from Rochester, NY at 74.43.251.52. A search of ARIN reveals the address is currently assigned to Frontier Communications.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/17/2012     | 10/17/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

On 10/16/12, I met with Atty Nichols who made available a review of printed copies of emails from JACK GOLDENBERG'S server. A review of the emails located several evidentiary items including the following:

An email from O'Hara on 08/16/10 with a client contact list

An email from O'Hara on 08/24/10 with a client contact list

Emails to and from O'Hara, Natalie and Tighe indicating various gmail accounts, and request to observe documents via google.docs

Atty. Nichols advises as in the past with information provided to this office from this server, GOLDENBERG would have to approve the individual release of information. Atty Nichols advises that though GOLDENBERG has provided the server to O'Connel and Aronowitz, any material that is to be released to a third party would have to be specifically approved by himself and/or his counsel.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/19/2012     | 10/19/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

I received seven emails from Atty. NICHOLS, as follows:

Email from J. OHARA to SUSAN DONES, TONI FOLEY and YURI PLYAM dated 08/16/10 at 11:12:39 AM. This email list the attachments as Email List for NXIVM Members.xls; NXIAN Contact List.xls

Email from J. OHARA to JIM ODATO dated 08/24/10 at 11:08:46 AM. This email list the attachment as Nxian Contact List (2010).mht

Email from J OHARA to YURI PLYAM, SUSAN DONES and TONI FOLEY dated 09/07/10 at 6:30:49 PM. Attachments listed are NXIAN Contact List.xls; NXIAN.NET Contact List.mht

Email from Expose NXIVM to JOHN TIGHE dated 08/25/10 at 4:46:29 PM. Attachments listed are NXIAN Contact List.xls

Email from Expose NXIVM to NANCY SALZMAN dated 02/01/10 at 6:21:07 PM and 08/2/10 at 10:46:32 AM

Email from Expose NXIVM to SARA BRONFMAN dated 07/30 at 11:43 AM and 08/03/10 at 1:12:34 PM

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 11/16/2012     | 11/16/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

I have been advised by ADA Ashdown that the matter continues to be reviewed by the NYS AG Office for the issuance of subpoenas. Matter set for conference with ADA Ashdown on 11/20/12.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 12/13/2012     | 12/13/2012   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

On today's date, I was advised by ADA Ashdown that the NYS AG Office has declined prosecution/ involvement in this investigation citing problems with the prosecution of the case, i.e. placing suspects at the computers at the time of the unauthorized access to the computer materials.

This information was passed along to Attorney Pam Nichols.

| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Date Written | Officer Name & Rank   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------|
| 01/09/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 01/13/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |                       |
| I was contacted by ADA David Rossi, Albany County DA Office who advised that he had been contacted by Attorney Mike McDermott of the ongoing investigation. I verified that there was in fact was an ongoing investigation. ADA Rossi advised that his office would possibly be seeking a Special District Attorney to be appointed in this case as his office would have a conflict. Request to be submitted through channels to the court.                                                                                                                                                                                  |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Date Written | Officer Name & Rank   |
| 03/25/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 03/25/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |                       |
| On 03/22/13, I met with Atty Bruce Lennard at his office in Guilderland. Atty. Lennard was advised of the aspects of this investigations and provided with the case paperwork.                                                                                                                                                                                                                                                                                                                                                                                                                                                |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Date Written | Officer Name & Rank   |
| 04/08/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 04/16/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |                       |
| On 04/08/13, I met with Atty Bruce Lennard, Special District Attorney, and discussed this case further.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Date Written | Officer Name & Rank   |
| 04/16/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 04/16/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |                       |
| I was contacted by Atty. Lennard who advised that he has been able to obtain some subpoena results from various ISP in regards to the IP addresses. Atty. Leonard advised that Frontier Communications advised that the information sought was no longer available. Additonal information was provided from other ISP. Information to be shared with this office on 04/23/13. Atty. Leonard request a review of NXIVM server files to verify accuracy and honesty of the information.                                                                                                                                         |              |                       |
| I contacted S/Inv Terry Aubin, Troop G CCU, who was advised of the request by Atty. Leonard. Same to attend meeting on 04/23/13 at Troop G CCU.                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Date Written | Officer Name & Rank   |
| 04/26/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 04/26/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |                       |
| I was contacted by Atty Bruce Lennard who advised of details of his contact with S/Inv Aubin on 04/23/13. He further advised of his contact with Clare Bronfman, Pam Nichols, and Ben Myers.                                                                                                                                                                                                                                                                                                                                                                                                                                  |              |                       |
| Atty Lennard request contact to be made with JACK GOLDENBURG, the former employer of O'HARA, who is reportedly in possession of the original server containing the emails to/from O'HARA.                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Date Written | Officer Name & Rank   |
| 05/02/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 05/02/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |                       |
| I contacted Atty. Pam Nichols for contact information for JACK GOLDENBERG. Same provided [REDACTED] and [REDACTED] and [REDACTED] for email addresses.                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |              |                       |
| Atty. Nichols advised the server for which the emails were provided had been obtained from El Paso, TX by the internet technology personnel. To the best of her knowledge, the server was returned to Brooklyn, NY.                                                                                                                                                                                                                                                                                                                                                                                                           |              |                       |
| I contacted GOLDENBERG (d.b.a. Accelify) by telephone who verified that the server remains in his custody. He advised that he would cooperate with the investigation but requested that a court order to be produced for the retention of the server. GOLDENBERG advised that he would contact his IT personnel and inquire on make, model and serial number. GOLDENBERG advised that that an IT person from BRONFMAN, C was at his office last Friday from 9:00 AM to 4:00 PM looking at the server. He was not familiar with the activity but belived it had something to do with matching up emails to ones on the server. |              |                       |
| I contacted Atty. Lennard and advised of this contact.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |              |                       |
| I contacted MYERS, B who advised that he was not the IT person at GOLDENBERG'S Office.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |              |                       |
| I contacted Atty. Nichols who advised that she directed her own technician to go to GOLDENBERG'S office and receive a copy of the actual server itslef. Apparently, NXIVM and O'Connell and Aronowitz have been working with a copy of a copy of the orginal server. The copy of the server was completed under the supervision of an IT person from GOLDENBERG'S office.                                                                                                                                                                                                                                                     |              |                       |

Atty. Lennard advised.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 05/07/2013     | 05/07/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

I received a call from ALEX FINN d.b.a Stockade Associates, 22 North College ST, Schenectady, NY who advises that he has provided IT services for Atty. Nichols. She advised FINN that there had been a security breach, internal access to a server and requested assistance in the recovery of emails, their headers, and to compare the IP addresses of the emails to IP addresses captured from the unauthorized access to the company sever. FINN provides that he has only gained access to the server on one occasion, on April 26, 2013. FINN reports that he was able to corrolate IP address to the IP logs on the breached system. He continued in stating that the email server located at Accelify is off line. He further stated that approximately 2-3 years ago, the server was placed offline because the company went to a different email service Office 365 and the emails were backed up to MacAffee "cloud" service. He advised that the back up service for the emails is retained by a third party, it backs up automiatically and cannot be tampered with. FINN believes that this service would provide the most accurate depiction of the emails because in some cases some emails could have been erased whether they were incoming and outgoing.

FINN was given access to the server under the supervision of ACCELIFY IT personnel and then provided access to the back up service. FINN has information on the server including photographs.

I re-interviewed GOLDENBERG who advised the server is a stand alone computer tower type server. He advised the server is a Dell server. GOLDENBERG requested communication through his attorney, Ronald Kleinberg, [REDACTED]

Atty Bruce Lennard advised.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 06/05/2013     | 06/05/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

I attended a meeting with S/inv Eugene Bell, S/Inv Terry Aubin, Inv Sara Vandusen and Bruce Lennard. Details of the investigation were discussed along with avenues to proceed.

Attorney Kleinberg in possession of a subpoena from Lennard for the cooperative exchange of the email server from Accelify. Arrangements are made for transportation of myself and members of Computer Crimes Unit to Accelify to facilitate the receipt of the server.

I was further advised by Lennard that O'HARA had pled guilty to charges in El Paso, TX where he is now facing a 36 month sentence in Federal prison and due to turn himself in in or around December 2013. A check of media sources via the internet verified that O'HARA had pled guilty.

I contacted BEN MYERS who w as advised of possible contact from Computer Crimes Unit personnel to facilitate a search/ imaging of the NXIVM server.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 06/10/2013     | 06/10/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

I contacted Attorney KLEINBERG who advised that he would make contact with GOLDENBERG in order to facilitate the turn over of the email server.

Inv Vandusen advised that she has made contact with MYERS to arrange for the exchange of information from the NXIVM server to NYSP custody. According to Inv Vandusen most of the content is maintained on backups for the server.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 06/14/2013     | 06/14/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

I received a call from GOLDENBERG who advised that the server is available for retrieval by NYSP. GOLDENBERG advised the server has been removed from the rack server and packaged up by his IT personnel. According to GOLDENBERG the server is currently located at 3611 14th Avenue, Suite 422 Brooklyn, New York 11218.

Arrangements to be made for the retrieval of the server. Notification through Troop for out of troop travel.

| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Date Written | Officer Name & Rank   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------|
| 06/18/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 06/18/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                       |
| <p>On 06/17/13, as a result of a separate investigation (SJS # 5123683) I spoke with BRONFMAN, C who was advised of the current status of this investigation.</p> <p>On 06/14/13, I contacted GOLDENBERG and made arrangements to retrieve the server from GOLDENBERG. GOLDENBERG advised that he would make himself available along with his IT person.</p> <p>Arrangement made through Troop G BCI Lt for out of Troop travel. Lt Lair advised.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Date Written | Officer Name & Rank   |
| 06/19/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 06/21/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                       |
| <p>On 06/19/13, Inv's Sara Vandusen, Troop H, CCU and Kirsopp patrolled to 3611 14th Ave. Suite 422, Brooklyn, NY where we took into possession one Dell server. A property receipt was provided to NATALIE ROTH, Director of Marketing for Accellify. GOLDENBERG was not at the office at the time of arrival as previously arranged on 06/18/13. According to ROTH, GOLDENBERG had requested that ROTH package the server into a box and had instructed her to mail the box to this office the following week. The email server was removed from the box and secured as evidence. Inv Vandusen reviewed the server which appeared to be intact. Photographs were completed of the server and the server room where it had been removed from. A photograph records was completed. This room appears to be the same room where FINN had completed his assignment. As per instruction from GOLDENBERG, ROTH signed a consent to search form for the server, as an officer of the company. Copies of the property receipt, photograph record and consent to search are attached to this report as enclosures herein, in their respective order.</p> <p>The server was transported to SP Clifton Park where it was secured as evidence pending submission to the NYSP FIC.</p> <p>Per conversation with Inv Vandusen, conversations are continuing with MYERS for obtaining data from the NXIVM/ESP computers/ sever. Inv Vandusen advised this action should be completed in the next week or two.</p> |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Date Written | Officer Name & Rank   |
| 06/27/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 06/27/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                       |
| <p>I was contacted by KRISTIN KEEFFE who advised that on 06/26/13 at approximately 7:30 PM she observed a newer, gray Mazda sedan outside her residence for an extended period of time. When she approached the vehicle to identify who was inside and the reason for sitting outside of her residence, the vehicle left. NY REG [REDACTED] was observed as the vehicle registration. Information was passed along to KEEFFE by RANIERE who advised that the vehicle had appeared to be stalking him while he was walking through the neighborhood earlier in the evening. A white male was observed with sandy or brown hair, 30's and appeared to be a large build. No further information.</p> <p>A check of NYS DMV revealed a "no hit" on [REDACTED].</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Date Written | Officer Name & Rank   |
| 07/16/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 07/16/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                       |
| <p>Received an email from Inv Vandusen who requested dates for the review of the NXIVM swerver. Inv Vandusen has been in contact with MYERS in regards to reviewing or mirroring the server. According to Inv Vandusen, consent has been provided to review certain areas of concern, specifically the areas where subjects had obtained information.</p> <p>Received an email and voicemail from Atty. Nichols who request to make note of an incident occurring on 07/13/13 at approximately 1:00 AM at [REDACTED] the residence of ESTHER CHIAPPONE. According to the NICHOLS, CHIAPPONE'S daughter, [REDACTED] was at her residence alone when an unknown white male knocked on the door. After [REDACTED] answered the door, the male party made reference to knowing RANIERE and that "he didn't have his arm band yet", and "he had been assigned by Keith". [REDACTED] related the information to her mother, who passed the information to BRONFMAN, C, who advised NICHOLS.</p> <p>I contacted Atty. Nichols who provided details as they were related by BRONFMAN, C.</p> <p>I attempted to contact CHIAPPONE by telephone with negative results. A message was left.</p> <p>I patrolled to [REDACTED] in an attempt to locate and interview ROSE or ESTHER CHIAPPONE with negative results. No one was home at the time of the visit.</p>                                                                                                                                                |              |                       |

| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Date Written | Officer Name & Rank   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------|
| 07/17/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 07/19/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |              |                       |
| <p>I attempted to contact CHIAPPONE, E by telephone with negative results. I contacted BRONFMAN, C who advised that CHIAPPONE, E was in a training session and would contact this office during her lunch break. BRONFMAN, C inquired about the status of this investigation.</p> <p>CHIAPPONE, E contacted this office and advised that she did not have any further details about the incident. She stated that the incident did not occur at her residence but at the residence of her daughter's friend. CHIAPPONE, E did not know which friend but did provide a contact number for her daughter.</p> <p>I attempted to contact CHIAPPONE, R with negative results. A message was left.</p> <p>CHIAPPONE, R contacted this office and left a voicemail to advise that the incident occurred at [REDACTED]. An attempt to contact CHIAPPONE, R to discuss the incident met with negative results. A message was left.</p> <p>I contacted Atty. Lennard to advise of case status including the review of the NXIVM server on today's date by Inv Vandusen, Arleigh and S/Inv Aubin.</p> |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Date Written | Officer Name & Rank   |
| 07/19/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 07/19/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |              |                       |
| <p>I received confirmation of the review of the NXIVM server from Inv Vandusen. One DVD compact disc was placed into evidence.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Date Written | Officer Name & Rank   |
| 08/27/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 08/27/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |              |                       |
| <p>A meeting between Atty Lennard, Inv's Sara Vandusen, Barry Friedman and myself conferred on the case status and next investigative steps.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Date Written | Officer Name & Rank   |
| 08/30/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 08/30/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |              |                       |
| <p>On today's date, I was contacted by KEEFFE who advised of an incident regarding a letter about her cats and her need to control same, if not they would be turned over to Animal Control in the Town of Halfmoon. On 03/29/13, KEEFFE returned home from Vanguard Week to learn that her neighbors dog had inadvertently ingested chicken poisoned with D-CON. The incident was reported to SCSO. Video footage was apparently located indicating that RICHARD FUGARO from [REDACTED] was the person responsible for the poisoning.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Date Written | Officer Name & Rank   |
| 09/01/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 09/01/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |              |                       |
| <p>I conducted checks on the following subjects:</p> <p><b>TONI FOLEY a.k.a. TONY NATALIE</b><br/> TLO database indicates address as [REDACTED] confirmed with NYS DMV. Spokeo advises address is occupied by FOLEY, T and FOLEY, S. (date of birth [REDACTED]) Email addresses provided includes [REDACTED]<br/> A check of SJS locates an address of [REDACTED]</p> <p><b>JOHN TIGHE</b><br/> TLO database indicates address as [REDACTED] Confirmed with NYS DMV records add [REDACTED] SJS confirms address add Building [REDACTED]. *This address was confirmed with previous visits to this residence by this investigator. Spokeo records indicate [REDACTED]</p> <p><b>JOSEPH J O'HARA</b><br/> TLO Database indicates address as [REDACTED] Confirmed with NYS DMV. SJS provides address as [REDACTED] Spokeo provides information for [REDACTED] A check of NYS DMV records indicates O'HARA is under the supervision of Federal Probation for his conviction in Texas.</p>                                                                                                      |              |                       |

| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------|
| 09/03/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 09/03/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| Follow up to 08/30/13:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |              |                       |
| On today's date, I received a voicemail from KEEFFE who indicated the sheriff's arrested FUGARO for placing the poisoned food outside of the residence. He was arrested on Sunday, 09/01/13 and he is scheduled to appear in the Town of Halfmoon Court.                                                                                                                                                                                                                                                                                                                                                                                 |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 09/04/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 09/04/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| On today's date, I received voicemail messages from Atty Nichols and KEEFFE requesting criminal charges against FUGARO for his actions against KEEFFE. I contacted Atty. Nichols who was unable to provide complete details of the reasons for criminal action against FUGARO. I contacted KEEFFE who advised that she has been keeping a log of the activities surrounding FUGARO specifically regards to FUGARO following her and her son, [REDACTED] through the development, contact with FUGARO and the times that he observed FUGARO taking pictures of her and her son. KEEFFE to meet at this office at 6:00 PM on today's date. |              |                       |
| I contacted BETH ABRAMSON, Town of Halfmoon Animal Control Officer, who stated that she is aware of the arrest of FUGARO. She is further aware of FUGARO'S request for the A.C.O. to become involved in the enforcement of stray cats in the vicinity of his residence. A letter was sent to the Halfmoon A.C.O. and ABRAMSON has spoken with FUGARO on several occasions over the cats. There are no stray cat ordinances with the Town of Halfmoon.                                                                                                                                                                                    |              |                       |
| I contacted and interviewed Deputy George Maxfield, SCSO who provided details of his investigation and arrest of FUGARO. Same advised that a request for paperwork for the arrest and investigation, including the voluntary statement that FUGARO provided at the time of his arrest would have to be submitted to the records department of the SCSO. A copy of the letter to the Town of Halfmoon Animal Control Officer is available and would be provided to this office.                                                                                                                                                           |              |                       |
| I interviewed KEEFFE at the SP Clifton Park where she provided details and circumstances where KEEFFE believes that she and her son are subjected to harassment and stalking by FUGARO. Deposition to be completed upon the receipt of additional information from KEEFFE regarding a specific date of stalking/harassment.                                                                                                                                                                                                                                                                                                              |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 09/06/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 09/06/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| I received the signed deposition from KEEFFE. I also received links to a video interview from News Channel 10 where FUGARO admitted to targeting his neighbors cats.                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 09/09/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 09/09/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| On today's date, I received a signed complaint and original signed deposition from KEEFFE. Both are submitted to T/J Wormuth for his review and issuance of a criminal summons/ warrant. KEEFFE advised. FUGARO to appear before T/J Wormuth on 09/10/13 at 6:00 PM for his A&M Law violation.                                                                                                                                                                                                                                                                                                                                           |              |                       |
| I received a copy of an email addressed to Mindy Wormuth, T/Halfmoon Supervisor where FUGARO mentions KEEFFE and NXIVM in his letter. A copy of the email is attached to this report as an enclosure herein.                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 10/03/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 10/07/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| I met with Atty Steve Coffey, Atty. Michael McDermott, and Atty. Pamela Nichols who requested a status update on the investigation.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 10/07/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 10/07/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| CONTINUED INVESTIGATION:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                       |
| I contacted MARY JILL BLOMQUIST, Federal Probation Officer, Albany who advised that O'HARA is currently under pre-trial supervision by her office. She verified that O'HARA had previously resided at [REDACTED] but he had moved from the residence in November 2012. He is currently living with [REDACTED] (girlfriend) at [REDACTED]. The residence is a rental property. BLOMQUIST indicated that O'HARA is not employed at this time and provided that he indicated that he was retired.                                                                                                                                           |              |                       |

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/08/2013     | 10/08/2013   | KIRSOPP, RODGER (INV) |

**Narrative****CONTINUED INVESTIGATION:**

I contacted USPS Postal Inspector Joy Hotaling and requested an address verification for [REDACTED]; [REDACTED]; and [REDACTED].

\* Received confirmation for [REDACTED]. Persons receiving mail at that location are JOHN and JOYCE TIGHE.

I met with Atty Bruce Lennard and conferenced the scope and targets of the search warrant.

I conferred with S/Inv Terry Aubin, Troop G CCU in regards to this search warrant.

I contacted Inv STEPHEN LALONDE, SP Rochester and requested photographs to be taken of [REDACTED] in preparation of the search warrant at that location. \*Photographs received from Inv Lalonde. A photograph record was completed and a copy is attached to this report as an enclosure herein.

I requested assistance from Inv's Eric Leonard and Peter Minahan, NYSP-SIU in obtaining covert photographs of TIGHE and O'HARA'S residence. Photographs forwarded by email. Photograph records completed and are attached to this report as enclosures herein.

I conducted a check of TRACS and located that TONI FOLEY had received a ticket on 09/05/13 returnable to the Town of Perinton, Monroe County on 10/08/13 at 5:00 PM. At the time of the V&T stop, FOLEY provided her driver's license which provided her address as [REDACTED]. At the time of the stop, FOLEY, T was operating NY REG [REDACTED] 2010 Saab, 4DSD, red color.

I conducted a check of Monroe County Real Property Data which locates the residence is in the name of SCOTT T. FOLEY and was purchased on or about 08/01/05. Residence is in the Town of Perinton.

I conducted a check of NYS DMV records reflecting vehicles for FOLEY, T which met with negative results. A check of NY REG [REDACTED] which returned registered under the name of FOLEY, S. A check of additional vehicles registered to FOLEY, S revealed one additional vehicle NY REG [REDACTED], 2008 Chev Silverado, color grey.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/10/2013     | 10/10/2013   | KIRSOPP, RODGER (INV) |

**Narrative****CONTINUED INVESTIGATION:**

On today's date, I received confirmation from Inv Hotaling on [REDACTED] that TONI FOLEY (NATALIE) receives mail at that address. She was also able to confirm at O'HARA and [REDACTED] receive mail at [REDACTED].

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/17/2013     | 10/17/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

I contacted S/Inv Leslie Simpson, Manhattan Criminal Squad and requested an address confirmation on Conde Nast/ Vanity Fair Offices located at 4 Times Square, NY, NY. On 10/11/13, Inv Orando Rodriguez and S/Inv Simpson confirmed the building is occupied by Conde Nast but was unable to confirm specific floors or suites occupied by the aforementioned corporation. A check of ACRIS (NYC Dept of Finance) was inconclusive to specific occupancy of the building or offices. The database further disclose multiple addresses including 4 Times Square. It is unclear from this record search whether the addresses were historical data or current addresses of the corporation.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/17/2013     | 10/17/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

On today's date, a search warrant application was presented before Hon. Kimberly A O'Connor, Acting Supreme Court Justice at her office. Upon review, Judge O'Connor signed the search warrants authorizing the search of the following residences: TIGHE,

O'HARA and FOLEY (NATALIE).

It should be noted that while in the process of obtaining the search warrant in Albany, NY I observed O'HARA operating his black Mitsubishi Eclipse which he parked on Lodge St. by the Albany County DA Office. O'HARA was also observed entering and leaving the court house.

I conferred this matter with Atty Bruce Lennard.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/18/2013     | 10/18/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

I completed SAFETNET checks with negative results.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/21/2013     | 10/21/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

**CONTINUED INVESTIGATION:**

Completed criminal history checks on FOLEY, TIGHE and O'HARA. O'HARA and TIGHE have a history of possession of pistol permits.

I contacted the Saratoga County Sheriff's Dept who confirmed an active pistol permit for TIGHE. A copy of an update letter was received the pistol permit section.

I contacted NYSP Pistol Permit section who provided details on both TIGHE and O'HARA history of their pistol permits. TIGHE in possession of multiple firearms. O'HARA had one pistol which appears to be in possession of another person as of 2011.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/22/2013     | 10/22/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

**CONTINUED INVESTIGATION:**

I contacted Probation Officer Blumquist who advised that O'Hara has since disposed of all of the weapons. Ammunition was seized by probation.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/23/2013     | 10/23/2013   | KIRSOPP, RODGER (INV) |

**Narrative**

**SEARCH WARRANTS**

On today's date, NYSP executed search warrants at [REDACTED]; and [REDACTED]

Evidence was located at all locations.

TIGHE- I attended the search of TIGHE'S residence in the [REDACTED] TIGHE was cooperative and responsive to questions where computers, his office and other devices were located. TIGHE denied knowledge of MARY JANE PINO, her user name and password and the access to the NXIVM computer system. TIGHE advised that the computer in the basement is the device that he uses on a daily basis to access his files, internet sites and maintain his blog. TIGHE specifically stated "All of the computer stuff is mine, my wife doesn't know anything about computer stuff. She has no knowledge of what is on there, I gave her the small lap top upstairs for her to look at stuff on". TIGHE questioned if he could get in trouble for other stuff on the computer not just the NXIVM stuff. TIGHE'S residence was occupied by his wife [REDACTED] and [REDACTED] \*It should be noted that for officer safety, TIGHE, J was in possession of multiple rifles, shotguns (varying in age and caliber) and pistols. Most items were located in a loaded condition, specifically the semi-automatic rifle 7.62 caliber and the 12 gauge pump action shotgun located adjacent to the bedroom door of the master bedroom. Pistols located were identified earlier as those listed on his pistol permit in Saratoga County.

Photographs were completed of the residence before, during and after the search warrant was conducted. A copy of the photograph record is attached to this report as an enclosure herein.

A property receipt was completed and a copy was provided to TIGHE, J prior to leaving the residence. A copy is attached to this

report as an enclosure herein.

O'HARA- O'HARA's residence was searched by members of SP Clifton Park and CCU. At the residence, members identified [REDACTED] and JOSEPH O'HARA as the occupants. Both subjects were cooperative and offered no resistance to the search warrant.

Photographs of the search warrant were completed before, during and after the search warrant. A photograph record was completed and a copy is attached to this report as an enclosure herein.

A property receipt was completed and a copy was provided to O'HARA prior to leaving the residence. A copy of the receipt is attached to this report as an enclosure herein.

I was contacted by TONI NATALIE (FOLEY) who asked questions about the allegations and investigation. NATALIE admitted to knowing PINO. She stated that she does not remember if she ever met her, but she did know who she was. She further stated that while she was involved in the "[REDACTED]" investigation, she was seeking information from all sources. NATALIE stated that web site is an open source of information for the public to access. Later in the conversation, I questioned if she ever used the user name and password of MARY JANE PINO which she admitted that she had in fact, that it was part of her "due diligence" while seeking information about [REDACTED]. NATALIE provided more unsupported information regarding allegations of crimes committed by RANIERE. NATALIE believes that this search warrant was as a result of a letter that was sent to TIGHE, O'HARA, NATALIE and O'DATO from a woman possibly in Mexico. This woman wants to provide information about RANIERE preying on children in Mexico. A 710.30 Notice was completed and a copy is attached to this report as an enclosure herein.

Received notification from Attorney Terrence Kindlon advising of his representation of TIGHE.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/26/2013     | 10/26/2013   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

A review of the paper evidence obtained from O'HARA residence reveals a majority of the paperwork consist of law suit materials from NXIVM v O'HARA, NXIVM v ROSS and NXIVM v BOUCHEY including depositions, filings and attorney/client material. Removed from the contents of the boxes are as follows:

Item # 206- Binder containing a listing of coaches, proctors and students as of 05/01/07. A check of the MJP logins failed to locate a successful access to NXIAN.net on 05/01/07. The next date of access is on 05/17/07 by an IP address 24.18.243.255 (Seattle, WA) at 1:37:18 and IP address 24.92.48.14 (Troy, NY) at 1:39:07. Removed from item #206 and made #206A

Item #213- Folder listed as "Website Materials". Materials are dated 07/17/05 to 09/07/06. Most are screen shots of the NXIVM and NXIAN public web site materials. Materials dated 09/07/06 from [www.nxian.net/comm/coach\\_contact.php](http://www.nxian.net/comm/coach_contact.php) appear to be screen shots of the "Coach Contact list". A review of the MJP login reveals two IP addresses accessed NXIAN.net on 09/07/06: IP 24.92.51.39 at 8:09:01 AM, 8:10:52 AM  
IP 64.246.146.33 at 12:37:21 PM, 12:40:48 PM, 3:31:21 PM and 5:02:33 PM  
Items are removed and listed as items #213A

Item # 209- email from BB Compass (believed to be Barbara Bouchee). Attached to the email by paper clip is a contact list printed 08/02/10 listed as [mhtml:file:///C:/users/jjohara/documents/downloads/nxian contact Llist 2010.mht](mailto:file:///C:/users/jjohara/documents/downloads/nxian%20contact%20list%202010.mhtml)  
A check the MJP logins failed to locate a matching date. Item removed from Item #209 and listed as item #209A

Item #209- Coach Contact List [https://www.nxian.net/comm/coach\\_contact.php](https://www.nxian.net/comm/coach_contact.php) printed 08/28/06. Item removed and listed as 209B. A check of the MJP login reveals the following IP addresses logged into the system using PINO log in user name and password.

1. 151.203.234.64 Verizon-Charlestown, MA [28/Aug/2006:09:59:30 -0400] 151.203.234.64 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415
2. 151.203.234.64 Verizon-Charlestown, MA [28/Aug/2006:11:21:25 -0400] 151.203.234.64 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415
3. 64.246.146.33 INOC-Oneonta (Cornerstone telephone IP address [28/Aug/2006:11:44:38 -0400] 64.246.146.33 TLSv1 RC4-MD5 "POST /index2.php HTTP/1.1" 415
4. 64.246.146.33 INOC-Oneonta (Cornerstone telephone IP address [28/Aug/2006:11:48:52 -0400] 64.246.146.33 TLSv1 RC4-MD5 "POST /index2.php HTTP/1.1" 415
5. 64.246.146.33 INOC-Oneonta (Cornerstone telephone IP address [28/Aug/2006:11:56:28 -0400] 64.246.146.33 TLSv1 RC4-MD5 "GET /index2.php750b0899 HTTP/1.1" 295
6. 64.246.146.33 INOC-Oneonta (Cornerstone telephone IP address [28/Aug/2006:11:56:50 -0400] 64.246.146.33 TLSv1

RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 7. 24.92.51.39 Road Runner-Waterford, NY [28/Aug/2006:12:07:22 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 8. 72.43.251.52 Roadrunner- Rochester, NY [28/Aug/2006:12:12:49 -0400] 72.43.251.52 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 9. 72.43.251.52 Roadrunner- Rochester, NY [28/Aug/2006:12:15:26 -0400] 72.43.251.52 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 403  
 10. 24.92.51.39 Roadrunner- Waterford, NY [28/Aug/2006:12:40:38 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 11. 24.92.51.39 Roadrunner- Waterford, NY [28/Aug/2006:13:02:17 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 12. 24.92.51.39 Roadrunner- Waterford, NY [28/Aug/2006:13:08:29 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 13. 64.246.146.33 INOC-Oneonta, NY [28/Aug/2006:13:35:38 -0400] 64.246.146.33 TLSv1 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 14. 64.246.146.33 INOC-Oneonta, NY [28/Aug/2006:13:47:00 -0400] 64.246.146.33 TLSv1 RC4-MD5 "POST /index2.php HTTP/1.1" 412  
 15. 64.246.146.33 INOC-Oneonta, NY [28/Aug/2006:13:48:04 -0400] 64.246.146.33 TLSv1 RC4-MD5 "POST /index2.php HTTP/1.1" 412  
 16. 24.97.136.234 Roadrunner-Saratoga Springs [28/Aug/2006:15:12:53 -0400] 24.97.136.234 TLSv1 DHE-RSA-AES256-SHA "POST /index2.php HTTP/1.1" 415  
 17. 24.97.136.234 Roadrunner-Saratoga Springs [28/Aug/2006:15:21:38 -0400] 24.97.136.234 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 18. 24.97.136.234 Roadrunner-Saratoga Springs [28/Aug/2006:15:41:22 -0400] 24.97.136.234 TLSv1 DHE-RSA-AES256-SHA "POST /index2.php HTTP/1.1" 415  
 19. 24.97.138.86 Roadrunner-Albany [28/Aug/2006:15:42:10 -0400] 24.97.138.86 SSLv3 DHE-RSA-AES256-SHA "POST /index2.php HTTP/1.1" 415  
 20. 24.97.136.234 Road Runner- Saratoga Springs [28/Aug/2006:17:24:50 -0400] 24.97.136.234 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 21. 24.92.51.39 Roadrunner-Waterford, NY [28/Aug/2006:21:13:46 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 22. 24.92.51.39 Roadrunner-Waterford, NY [28/Aug/2006:21:26:13 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415  
 23. 24.92.51.39 Roadrunner-Waterford, NY [28/Aug/2006:21:32:00 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 412  
 24. 24.92.51.39 Roadrunner-Waterford, NY [28/Aug/2006:21:32:24 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 412  
 25. 24.92.51.39 Roadrunner-Waterford, NY [28/Aug/2006:21:32:45 -0400] 24.92.51.39 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415

Item # 209- Email from SUSAN DONES ([REDACTED]) to GRAYDON CARTER ([REDACTED]), 'Andres Suzanna' and Toni Foley. Item removed and listed as item # 209C.

Item # 209- List of NXIVM Student as of 10/01/06. A check of MJP logins failed to locate an access to NXIAN.net on 10/01/06. The next date of access is 10/02/06 at 10:15:46 AM by IP address 24.92.51.39 (Roadrunner- Waterford, NY). Item removed and listed as item #209D.

Item # 209- NXIVM website postings. A review of the materials appear to be public website materials from NXIAN and NXIVM websites. Item removed and listed as item # 209E.

Item # 209- NXIAN.net materials. A review indicates one was possibly printed on 08/26/10 from www.espian.net/intensive.php (possibly a public area of the website) and one printed on 06/12/09 from www.nxian.net/humanities/community/mission.php. (MJP login list access from IP 64.22.40.165 at 12:40:25 PM). IP address is identified as the IP address associated to Accelify (O'Hara). Item listed as item #209F.

Item # 209- Email addressed from Expose NXIVM ([REDACTED]) to John Tighe ([REDACTED]), subject: John from Saratoga in Decline, dated 08/25/10. Email references a conversation about [REDACTED], CAFRITZ, UNTERREINER, KEEFFE and RANIERRE. Item removed and listed as item # 209G.

Item #209- List of Addresss, Telephone Numbers and E-Mails RE NXIVM Corporation d/b/a Executive Success Programs (Bottom left corner of document reads S:\NXIVM\NXIVMContacts.doc. Item removed and listed as item #209 H

Item #209- Email and Fax to Conde Nast. Emails dated 9/13/10 between CALLIE WRIGHT and O'HARA regarding CPS contact about [REDACTED] and fact checking for the ANDREW'S article on NXIVM. Fax appears to have been requested after the attachment from the email was undeliverable and a fax was requested of BARBARA JESKE'S deposition detailing information about [REDACTED]. Item is removed and listed as item #209I. A review of the MJP logins reveals access to NXIAN.Net from IP address 72.226.58.91 at 12:16:16 AM. Information obtained from the Brooklyn server indicates this IP address is associated to TIGHE through his email correspondence with O'HARA.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/28/2013     | 10/28/2013   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

#### EVIDENCE SUBMISSION:

On today's date, I submitted items of evidence to the NYSP FIC-CCU for the completion of search warrants. Copies of the search warrants forwarded to CCU for their records.

I received items of evidence from Inv Dave Perl, Troop E Evidence Custodian. Two computers were submitted to the NYSP-FIC and one item consisting of NXIVM paperwork retained in the Clifton Park BCI E.L.

A review of item #302 NXIVM paperwork obtained from NATALIE'S residence revealed the following: One folder containing the Coach Contact Lists 08/24/06 and another folder containing NXIVM-Website Materials- Info. The second folder contains website material, some is unclear if it is public website material, however the following items are noted not to be public:

1. nxian.net/measure/index.php 10/01/07
2. NXIVM Organization Chart 08/24/06
3. Comm.tools 08/24/06
4. Coach Tree 08/24/06
5. Sales Goals tracking 08/24/06
6. Intro Event Calender 08/24/06
7. Hours Report 08/24/06
8. Intro Event Calender 08/24/06
9. Committees 08/24/06
10. Committee Hours 08/24/06
11. Measurement 08/24/06
12. Enrollment history for Mary Jane Pino 08/24/06
13. Enrollment history for Richard K. Pino 08/24/06

A review of the MJP Logins pre 2010-02-03 indicates two separate IP addresses were associated with 08/24/06

1. 72.43.251.52- Rochester, NY [24/Aug/2006:15:42:11 -0400] 72.43.251.52 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415
2. 151.203.234.64- Charlestown, MA [24/Aug/2006:16:39:51 -0400] 151.203.234.64 SSLv3 RC4-MD5 "POST /index2.php HTTP/1.1" 415

Attorney Lennard advised of evidence submission and review of paper materials from O'HARA and NATALIE.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 10/31/2013     | 11/04/2013   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

I received notification from Inv Vandusen, CCU of child porn located on item # 109 (Gateway Computer) obtained from TIGHE'S residence. Deposition to follow for an amendment to the search warrant.

On 11/04/13, I received the deposition from Inv Vandusen who provided details of her search of item #109 pursuant to the previously issued search warrant. Based upon the search of this computer, an amendment of the search warrant is warranted and to be sought on 11/06/13.

I received a voicemail from Atty Nichols who advised of contact with the FBI. I contacted Atty Nichols who provided details of the contact with the FBI. Same provided details for informational purposes only.

| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date Written | Officer Name & Rank   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------|
| 11/06/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 11/07/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| I was contacted by O'HARA who requested a timeline on the return of his computers. Same is expected to turn himself in to the Federal Authorities in December 2013 to start serving his sentence pursuant to his plea of guilty.                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date Written | Officer Name & Rank   |
| 11/07/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 11/20/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| SEARCH WARRANT AMENDMENT:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |              |                       |
| On 11/07/13, I submitted an affidavit in support of amendment for the search warrant of the TIGHE'S computer to Hon. Kimberly O'Connor. Judge O'Connor signed the search warrant authorizing the searches of the devices retrieved from TIGHE'S residence.                                                                                                                                                                                                                                                                                                                                                   |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date Written | Officer Name & Rank   |
| 11/20/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 11/20/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| I was contacted KEEFFE who advise of a neighbor who contacted her in regards to her own cat being poisoned. The cat was taken to the veterinarian. The owner of the cat is a person named KATHLEEN who lives at [REDACTED] (Tpr Vincent advised for follow up action) KEEFFE further advised that she recently located the correct plate number for the vehicle that she had observed following her back on October 21 and 22, 2013. She provided that plate as NY [REDACTED].                                                                                                                               |              |                       |
| A check of DMV records located [REDACTED] returned to SCOTT J. GUILIANELLE of [REDACTED] on a gold in color 2002 Dodge Intrepid. No history in SJS or DCJS. Vehicle not match description provided by KEEFFE.                                                                                                                                                                                                                                                                                                                                                                                                |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date Written | Officer Name & Rank   |
| 11/22/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 11/22/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| I received a phone call from O'HARA who inquired about the computers in NYSP custody. I requested a meeting with O'HARA to discuss the investigation. A meeting is set up for Wednesday 11/27/13 at 12:00 PM.                                                                                                                                                                                                                                                                                                                                                                                                |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date Written | Officer Name & Rank   |
| 11/27/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 11/27/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| I received a voicemail from NATALIE who requested that I contact her. I contacted NATALIE who requested her computer back from NYSP custody. I advised her that her computer was still being analyzed. NATALIE expressed concern over a message that she observed on her television which she indicated that was a result of an unknown party "listening to her conversations". An email from NATALIE confirmed that the screen of her Vizio television was asking to connect to a WiFi connection.                                                                                                          |              |                       |
| NATALIE responded that she also has someone trying to access her computer.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |              |                       |
| I received a call from O'HARA that he was unable to come to SP Clifton Park today as he was very busy. He advised that he would make arrangements to meet with this office either Friday or Monday before he left for Texas. O'HARA advised that his girlfriend's new computer from work was taken over by an unknown party on Tuesday night. He expressed concern over unknown person accessing his computer without his knowledge. O'HARA questioned if NYSP would be able to search his computers for person accessing his computers. Same advised this would be outside the scope of the search warrant. |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date Written | Officer Name & Rank   |
| 12/12/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 12/12/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| I conferred this matter with AUSA, Lisa Fletcher, Syracuse Office [REDACTED]                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                       |
| Matter conferred with SA David Fallon, FBI-Albany Office who was advised of this investigation.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date Written | Officer Name & Rank   |
| 12/31/2013                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 12/31/2013   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |              |                       |
| I received item # 109L from the Troop G Evidence Vault. Item transported to SP Clifton Park where the item was inspected for evidence related to conversations with Inv Sara Vandusen, NYSP-FIC. Item viewed under TUX4N6 where items of evidence were observed. Items verified to be consistent with conversations with Inv Vandusen.                                                                                                                                                                                                                                                                       |              |                       |
| Meeting arranged with SA Fallon, FBI on 01/02/13 at 2:00 PM.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                       |

On 12/30/13, I received an email from Inv Vandusen advising of her review of item #104 (All in One desktop) which had been located in the basement of TIGHE'S residence. Items of evidence, unrelated to this investigation were observed, undetermined if criminal activity but at least suspicious in nature. \*(Narrative to reflect observations post arrest) \*\*

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/08/2014     | 01/08/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

I received a phone call from TONI NATALIE. Same inquired about the status of her investigation, status of her computer and inquired about investigative procedures on investigating criminal activity involving NXIVM members. Same mentioned possible departing members who she believed they were being harassed by NXIVM members. NATALIE did not have any definitive information on the criminal activity therefore it will not be acted upon by this office. NATALIE advised that O'HARA is currently housed at the Brooklyn Detention Center and would remain at this facility for the three years he was sentenced, instead of at Otisville Penitentiary.

S/Inv Student advised that [REDACTED] called and provided her contact information as she has since moved from [REDACTED] to [REDACTED], [REDACTED] SJS persons tab updated to reflect new information.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/09/2014     | 01/09/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

On today's date, I met with SA David Fallon, FBI-Albany Office. Same was advised of the details of this investigation and parallel investigation subsequent to the search warrant. Item #109L turned over to SA Fallon. A property receipt was produced by both agencies, copies are attached to this report as enclosures herein.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/13/2014     | 01/14/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

On 01/13/14, I received two emails from Atty Nichols who requested contact with her office over another computer trespass occurring with the NXIVM website/server.

I was contacted by Atty Coffey who provided the condensed version of events reported to him by BRONFMAN, C.

I contacted MYERS, B who provided that on 01/08/14 at 2:02 AM (-0500), an unknown party accessed the NXIVM website/server from 24.39.203.50. This IP address has been flagged by NXIVM as an IP address previously used by unknown parties to gain access with the PINO username and password. On 01/07/14 the unknown party used the username and password of SVETLANA KOTLIN. MYERS, B advised that KOTLIN was a previous student who was provided a username and password, but she has not used her access in recent history. MYERS was not able to provide KOTLIN'S last known authorized access but he would research this information. MYERS advised that the IP address is associated to BOUCHEY as it was attached to a email header which NXIVM had received from BOUCHEY. MYERS provided the email header from BOUCHEY, access log for 01/07/14, and the page access log/ page loads for KOTLIN username.

I conducted a check of www.dawhois.com for the IP address which located the IP address is a direct allocation through Time Warner Cable to Barbara Bouche [REDACTED] Phone number associated to the account is [REDACTED], contact [REDACTED] Contact address of [REDACTED]

A check of the previous report entries indicate attempted access to NXIVM website/ server between 08/02/09 and 07/07/10. A review of the page load data spreadsheet failed to locate access to the website. Multiple attempts met with no authorized access to the website/ server.

A review of the pageload data provided by MYERS reveals the following:

01/08/14 at 2:02:47 (-0500) unknown subject gained access to "index2.php"  
 01/08/14 at 2:05:24 (-0500) unknown subject gained access to "comm/calender/1index.phpHTTP/1.1"  
 01/08/14 at 2:07:37 (-0500) unknown subject gained access to "humanities/community/groups.phpHTTP/1.1"  
 01/08/14 at 2:07:41 (-0500) unknown subject gained access to "humanities/community/guardians.php HTTP/1.1"  
 01/08/14 at 2:07:46 (-0500) unknown subject gained access to ""humanities/community/mission.php.HTTP /1.1"

01/08/14 at 2:08:15 (-0500) unknown subject gained access to "comm/tools/lcoachtree/rpt.phpHTTP/1.1"  
 01/08/14 at 2:08:36 (-0500) unknown subject gained access to "sales/ethicalmedia/book\_order\_form.phtml HTTP/1.1"  
 01/08/14 at 2:08:52 (-0500) unknown subject gained access to "sales/1testimonials.php HTTP/1.1"  
 01/08/14 at 2:11:57 (-0500) unknown subject gained access to "humanities/quest/index.php HTTP/1.1"  
 01/08/14 at 2:14:01 (-0500) unknown subject gained access to "comm/calender/lupcoming\_trainings.php HTTP/1.1"

TLOpx reports indicate SVETLANA KOTLIN has a current address of [REDACTED] (possible business address). BOUCHEY has a current address of [REDACTED] She had a previous address at [REDACTED].

I received an email from MYERS who provided the same data provided by dawhois.com. Interview set for 01/14/14 at 11:30 AM.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/14/2014     | 01/14/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

I advised Atty Lennard of the contact and report by MYERS regarding the new computer trespass by unknown parties. Subpoena was requested from Lennard for the Internet Service Provider for the dates and times in question.

I interviewed MYERS at SP Clifton Park who provided details of the discovery of the suspected unauthorized access to the NXIVM website/server. A deposition was obtained and a copy is attached to this report as an enclosure herien.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/16/2014     | 01/16/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

Email received from MYERS on 01/15/14 advising that the disc containing the information of the logins would be available on 01/15/14. Disc was received by Tpr Keating and placed into the BCI evidence locker.

I was advised by Inv Vandusen that the evidence processing is continuing. Items of evidence would be available next week. Possible problems exists with the item of evidence regarding TIGHE with the AUSA. Item may be returning to NYSP.

I advised Inv Vandusen of the new access by KOTLIN at the suspected IP address. Vandusen conducted a search of the NXIVM logs and located successful access with the PINO username and password from 24.39.203.50 on 11/01/09. Access was gained for approximately 30 seconds. It is unclear if anything was gained through this access. Inv Vandusen further advised that additional attempts were noted on 07/06/10 for the same IP address with no access was gained. According to the current records obtained from the NXIVM server, KOTLIN has 26 entries with 11 successful logins to the server/ website. Inv Vandusen advised to have MYERS make a copy of the NXIVM log file and Apache web server file, this will be sufficient for evidentiary purposes for NYSP.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/21/2014     | 01/21/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

I received a call from Atty Coffey who advised of pursuing extortion case with Atty Lennard with possible prosecution of suspected persons through Albany County.

I contacted FBI, SA David Fallon regarding Federal Prosecution of TIGHE. Same advised that he has identified 50 images as child pornography, the videos received have problematic issues for prosecution since most of the videos are preceded by a banner indicating the models were 18 years of age. SA Fallon advised that federal charges would remain pending the complete review of the materials obtained from TIGHE'S residence.

I received a call from Inv Vandusen. Investigation is continuing. Same advised of material located on one of the thirty two compact discs obtained from O'Hara's residence. Materials area related to NXIVM bankruptcy materials and unrelated to the current investigation.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/27/2014     | 01/27/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

On 01/24/14, I received a voicemail from Atty Coffey, who advised of suspicious activity reported by BRONFMAN. I called Atty Coffey who provided details of two suspicious packages received via USPS to KEITH RANIERE. Same advised to have BRONFMAN bring the packages to SP Clifton Park for a report of the activity. I received a telephone call from Atty Nichols in regards to the same activity. Same was advised to have BRONFMAN drop the packages off at the barracks for a review of the materials for possible criminal activity.

A review of the packages reveals the following: both packages consist of a medium size, padded envelope bearing Keith Raniere's name and the address for NXIVM headquarters at 455 New Karner Rd, Albany, NY (T/Colonie). One envelope is dated Dec 20, 2013, from zip code 21042 and contains three Visa gift card (\$100.00 denominations). The back of the envelope bears the address of [REDACTED]. The second envelope is dated Jan 7, 2014 from Columbia, MD and contains a receipt from an Endicott, MD Rite Aid for the purchase of a \$500.00 Visa gift card and the gift card.

A check of the Berkeley, CA address reveals it returns to a MIRIAM ABRAMS (tloxp search). A Google search reveals that ABRAMS, M is a therapist who is involved in some type of counseling services similar or the same as NXIVM/ESP.

A check of NXIVM records located through this investigation a KATHLEEN ABRAMS who is listed as a member in the email from O'HARA to NATALIE. A check of Spokeo records indicates several addresses for a KATHLEEN ABRAMS (70 years of age) from Columbia, MD who is also a therapist.

Since it is not clear of a criminal act occurring in this matter, no further investigative acts are to occur until clarity is provided by the complainant or reporting persons in this matter.

Attorney Nichols advised. Attorney Nichols advised that BRONFMAN, C is not in the area for several days and she would advise BRONFMAN, C.

NATALIE contacted this office and requested assistance in locating files on her seized MacBook Pro computer that she requires for the filing of her taxes.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 01/28/2014     | 01/28/2014   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

Communication continues with NATALIE regards to obtaining copies of files from her seized MacBook Pro for the purpose of completing her federal taxes.

I received notification from Inv Vandusen who advised that the evidence disc containing the analysis report for Item #2, the data from the NXIVM server. I patrolled to NYSP FIC where I obtained item #2L from receiving at NYSP FIC. The disc was reviewed by Inv Vandusen and me prior to leaving the building. Item returned to SP Clifton Park where it is secured in the BCI Evidence Locker.

A review of the report verifies previous log files provided by NXIVM/ESP which depicts the illegal access by several parties including O'Hara, Natalie and Tighe. Several additional locations were verified including DONES, ANDREWS, CROAK and BOUCHEY. Log files for several of the transactions fail to depict the actual log in but depict access from the IP address to areas of the server which have been depicted as "sensitive files". i.e. BOUCHEY'S IP address depicts no access through the log in, but the Apache server file indicates access from a person associated to BOUCHEY'S IP address. A majority of the data indicates web pages were requested but no authorization was granted. Access to areas of the server was granted but areas may be public areas of the server/website.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 02/03/2014     | 02/03/2014   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

I completed surveillance of TIGHE'S residence with negative sightings of TIGHE or his vehicle. Residence appears to remain occupied.

A check of DMV files indicate TIGHE'S vehicle and license remain valid and associated to the [REDACTED] address.

I completed a check of [REDACTED] (BOUCHEY residence). Located residence and but failed to locate BOUCHEY or vehicles associated to BOUCHEY. Residence is located within a duplex, multi-unit dwelling bearing yellow siding and the number [REDACTED] identifying the building number located in the middle of the building in black letters on a white background. I was unable to locate apartment [REDACTED] but located the garage to the unit on the west side of the building bearing a [REDACTED] over the garage door in black on white background.

I conducted a check of [REDACTED] which is the residence associated to the IP address management, CHRISTINE TRAVER. Negative results on TRAVER or vehicle associated to TRAVER. The residence is located at the corner or [REDACTED]. The residence is located at the southeast corner of the building which appears to be a multi-unit dwelling. Number to the residence is located on the porch post. No vehicles observed at the residence.

A check of DMV files for BOUCHEY, TRAVER and KOTLIN was completed. KOTLIN has an address associated to her registration of a vehicle locate at [REDACTED].

I contacted Attorney Nichols and made an inquiry for persons knowledgeable of TRAVER, KOTLIN and BOUCHEY within the NXIVM organization and their personal contact with each other. Atty Nichols advised that TRAVER was working for BOUCHEY and observed at her apartment by a process server when BOUCHEY was served by her office.

I also conducted a check of SJS where I located TRAVER. TRAVER was previously interviewed on 01/19/11 during the investigation involving [REDACTED] (SJS # 3794359). In the interview, TRAVER advised that she had previously worked for BOUCHEY prior to the interview.

A check of internet search engines, BING and GOOGLE locate an interview of KOTLIN by the Times Union. Article is regarding KOTLIN and a civil dispute between herself and Quick Response for work she apparently requested for her ex-husband's residence in Halfmoon. \*A check of TLOxp located a previous address at [REDACTED].

A review of case notes indicates that both PINO and KOTLIN were sponsored by PETER FALLON. Interview noted for later purposes.

A review of case paperwork locates PETER S. FALLON as a member, per date of the printed member list. Attempt to locate PETER S FALLON in SJS fails to locate the proper individual.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 02/04/2014     | 02/04/2014   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

I met with BRONFMAN and discussed the status of this investigation. BRONFMAN clarified her role within NXIVM/ESP and provided her knowledge of people associated with this investigation. Envelopes and gift cards received from BRONFMAN are returned to same. A property receipt was completed and a copy is attached to this report as an enclosure herein.

I received an email from Inv Vandusen who provided details of her search of item #105 (external hard drive) retained from TIGHE'S residence. Information relayed to SA Fallon, FBI-Albany.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 02/26/2014     | 02/26/2014   | KIRSOPP, RODGER (INV) |
| Narrative      |              |                       |

Subsequent to the receipt of the search warrant results from item # 105 WD External harddrive located at TIGHE'S residence the following actions were completed.

Item of evidence was transferred from NYSP FIC to SP Clifton Park where it was secured in the evidence locker.

ADA Michelle Schettino was advised of the contents of the material obtained from Item #105.  
Troop G BCI command staff advised.

On 02/25/14, I advised SA David Fallon, FBI-Albany of the content. Same to advise AUSA assigned to child pornography cases of material and request adoption by FBI.

On 02/26/14, I submitted a criminal complaint to T/J Hon Carlos Calderone, T/Milton Court for three counts of possessing a sexual performance of a child and three counts of promoting a sexual performance of a child. An arrest warrant was issued by Judge Calderone.

Same date, TIGHE was located at his residence and arrested without incident. TIGHE advised that he had already retained Attorney Lee Kindlon as his attorney for the computer trespass investigation and would be representing him in this matter as well. TIGHE was advised of his miranda warnings by myself as a cautionary measure. TIGHE was transported to SP Clifton Park where he was processed without incident. Attorney Kindlon arrived at station where he was afforded the opportunity to speak with his client. TIGHE was arraigned before T/J James Hughes, T/Clifton Park Court where he was remanded to Saratoga County Jail in lieu of \$10,000.00 cash bail. \$20,000.00 bond. TIGHE to appear in T/Milton Court on 03/03/14 at 2:00 PM.

| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------|
| 02/27/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 02/27/2014   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| <p>I was advised by SA Fallon/ FBI Albany Office of his expected meeting with AUSA Lisa Fletcher in Albany, NY on 03/10/14. Same requested additional information on the arrest and investigation. Further , he requested a temporary transfer of evidence so that the evidence could be shown to the prosecutor.</p> <p>ADA Schettino advised and authorized the transfer of evidence for review by Federal Authorities. Copies of Arrest Report and felony complaint sent by fax.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 03/04/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 03/04/2014   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| <p>On today's date, I received the subpoena results from Atty Lennard. Time Warner Cable confirmed the IP address was assigned to BOUCHEY at the time of the access.</p> <p>S/Inv Student advised item # 215 (O'Hara's girlfriend's computer [REDACTED]) was completed and as a result there was no evidence located within the device. Item is relayed to SP Clifton Park for the eventual return to BOUSE on today's date.</p> <p>I received a message to call O'DATO at the Times Union. Same inquired about the investigation, status of KEEFFE, and inquired about this office's willingness to cooperate with providing him information. I questioned O'DATO about his knowledge of a username and password utilized at a Times Union IP address to which he denied any knowledge. O'DATO inquired about the search warrant application and additional information about the investigation to which he was not afforded any information. O'DATO also questioned about the status of the TIGHE child pornography investigation/ arrest.</p> <p>I received an email from Inv Vandusen who advised that she was able to track a title on the Gateway to Item 105. She located a record of "8yo Natty Datty...." being played on 4/7/13. It shows the location from where it was played from, including the drive letter, label, and path to the title. According to Inv Vandusen it is an exact match to Item105.</p> <p>SA David Fallon, FBI-Albany who received item #105L for review by himself and the prosecutor. A receipt was completed by both offices. A copy of the property receipt is attached to this report as an enclosure herein.</p> |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 03/05/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 03/05/2014   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| <p>I received communication from Nationwide Court Services, General Counsel, ALEX CARLOMAGNO [REDACTED]. Same advised that he is attempting to recover a laptop computer seized by this office subsequent to a search warrant from JOSEPH O'HARA. Apparently the laptop computer is the property of the company and he has expressed an interest in the computer upon its disposal by NYSP.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 03/07/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 03/07/2014   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| <p>I was contacted by ADA Schettino, Saratoga County DA Office who advised of her communication with SA Fallon, FBI.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |              |                       |
| Date of Action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Date Written | Officer Name & Rank   |
| 03/10/2014                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 03/10/2014   | KIRSOPP, RODGER (INV) |
| <b>Narrative</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |                       |
| <p>S/Inv Student and Inv Kirsopp patrolled to [REDACTED] in an attempt to interview BOUCHEY With negative results. A business card was left at the residence.</p> <p>An attempt to locate KOTLIN at [REDACTED] met with negative results. A business card was left at the residence.</p> <p>Members patrolled to [REDACTED] where we made contact with an employee at that location. Contact information was provided to members.</p> <p>Upon our return to SP Clifton Park, member received a message from PATRICK HUSSEY [REDACTED]. Contact with same advised</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |              |                       |

that KOTLIN was his girlfriend, she was staying at his residence but she was feeling under the weather. I requested same to contact this office.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 03/13/2014     | 03/13/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

I contacted KOTLIN by telephone. Same stated that she has not been an active member of NXIVM for over six years. KOTLIN denied using her NXIVM username and password for access to the NXIVM website. She does not recall her username or password. She further stated that all of her usernames/ passwords are locked in a Word document which itself is password protected. KOTLIN reviewed her schedule which indicated that she was working from 8:00 AM to 8:00 PM on 01/08/14. She stated that she would have been asleep at 2:00 AM. KOTLIN knows BOUCHEY but has not been to her residence since before she left NXIVM. KOTLIN communicates with BOUCHEY by telephone with the last contact on 01/01/14 when she wished BOUCHEY a Happy New Year. Arrangements were made for an interview of KOTLIN at 9:00 AM on 02/18/14.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 03/17/2014     | 03/19/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

On 03/17/14, I received notice from JONATHAN S. FISHBEIN, Attorney, who advised that he was the attorney from KOTLIN. He advised that law enforcement was not to have contact with his client.

I contacted Atty Fishbein who was advised of the nature of this investigation. Atty Fishbein advised that he would allow a meeting with client for the purpose of deposing his client for her previously provided statement but he would be present for the deposition. Arrangements are to be made by Atty Fishbein.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 03/19/2014     | 03/19/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

On today's date, I spoke with Atty Fishbein who advised that he had not been in contact with his client. Atty. Fishbein advised that he would provide a sworn statement from KOTLIN providing details of the statement provided over the telephone on 03/13/14.

I spoke with NATALIE who requested information from her seized computer to complete her tax filings for 2013.

I spoke with Inv Vandusen who advised that CCU has since locate 5,000 files on the item #105 that have been deemed child pornography with another 300,000 files yet to review.

I attempted to contact SA Fallon, FBI with negative results. A message was left.

I attempted to contact Holly Trexler, [REDACTED] Special Prosecutor for Albany County with negative results.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 03/20/2014     | 03/20/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

On today's date, I spoke with Special Prosecutor Holly Trexler who requested to meet and discuss this investigation. Date arranged for 03/37/14 at 1:00 PM at NYSP FIC. Lt Mark Brown and Inv Sarah Vandusen advised through email.

| Date of Action | Date Written | Officer Name & Rank   |
|----------------|--------------|-----------------------|
| 03/31/2014     | 03/31/2014   | KIRSOPP, RODGER (INV) |

#### Narrative

On 03/25/14, I patrolled to [REDACTED] where I attempted to locate and interview BOUCHEY with negative results. I located and interviewed the property manager who advised that BOUCHEY had moved out in the end of January 2014. She recalls that she moved to Seattle, WA. Contact information was provided.

Same date, I contacted BOUCHEY by telephone. BOUCHEY was familiar with myself as we had previously spoke in regards to KEEFFE, [REDACTED] in 2010. BOUCHEY provided details of her telephone contact with KEEFFE, K on 02/12/14. No relevant information regarding this investigation except that KEEFFE, K apologized for the relentless pursuit of BOUCHEY through litigation and other harassment techniques. BOUCHEY was questioned in regards to the activity where an unknown party logged into NXIAN.net on 01/08/14. BOUCHEY disclosed that when she worked for NXIVM she received request from people for access to the website which stated was nothing more than a glorified "Facebook" for NXIVM that did not contain any proprietary information. BOUCHEY continued in stating that in January she was going through a bunch of emails, one of which was from/ to KOTLIN. It was at this time she located the username and password for KOTLIN. BOUCHEY stated that she was curious if they still worked so she logged into NXIAN.Net using the username and password of KOTLIN. She acknowledged that she did not have the authorization from KOTLIN to do so. BOUCHEY confirmed the areas of the website that she looked at including the Humanities,

the calender and coach list. BOUCHEY advised that she had no intentions of sharing the information but was curious in NXIVM and their current status of business. BOUCHEY stated that NXIVM has a habit of taking small infractions and making them much larger than what they really are. She provided examples in her many lawsuits involving NXIVM or the BRONFMANS. BOUCHEY stated that when she was with NXIVM as their primary financial planner, she was aware that the organization had two servers. Neither were connected to each other. One server contained Nxian.net and the other server contained the data which she had knowledge was proprietary such as accounting records, payments from clients including credit card information, NXIVM/ESP class information, commissions, payouts, etc... BOUCHEY was familiar with PINO. She recalled that PINO had been passing her username and password to several people, but she was not familiar with whom she had shared it with. BOUCHEY stated that she recalls PINO had access to the second server, as she recalled PINO had worked in the administrative offices at 155 New Karner Rd. \*PINO and NXIVM personnel did not confirm this information. PINO indicated that she took a calls with ESP but did not provide details that she worked for NXIVM. Conversation was recorded.

On 03/27/14, the meeting with Trexler was cancelled.

On 03/31/14, Atty Coffey at station inquiring about status of this investigation. Atty Coffey also inquired about criminal investigation involving RANIERE or NXIVM as a result of KEEFFE interview. Atty Coffey does not know the current whereabouts of KEEFFE.

Same date, I received a call from NATALIE who was requesting a status update on the case and access to pictures of her house so that she could provide them for the sale of her house. NATALIE stated that she believes that she did nothing wrong and insist that her computers should be returned to her. I advised NATALIE of her previous conversations with this office and her admissions to accessing NXIVM'S servers using PINO username and password. NATALIE again confirmed the use of the information but stated that all she wanted to do was to expose a criminal organization and not sue the information for any other purpose. NATALIE further stated that she received the username and password form PINO but did not recall how she received i.e telephone or email. Conversation recorded.

#### ADMINISTRATIVE

|                                                                      |                               |                                                                   |                    |                     |
|----------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------|--------------------|---------------------|
| 74. Inquiries<br>DMV CH WW                                           | 75. NYSPIN Message No.        | 76. Complainant Signature                                         |                    |                     |
| 77. Reporting Officer Signature (Include Rank)<br>INV RODGER KIRSOPP | 78. ID No.<br>2312            | 79. Supervisor Signature (Include Rank)<br>SR INV MICHAEL STUDENT | 80. ID No.<br>1807 |                     |
| 81. Status<br>PENDING - NO MEDIA                                     | 82. Status Date<br>11/04/2013 | 83. Notified/TOT<br>BCI                                           |                    |                     |
|                                                                      |                               |                                                                   |                    | Solvability Total 0 |